

## Chapter 10. National Security





*Battling horseman from a nineteenth-century wall painting, Gujarat*

THE INDIAN ARMED FORCES have undergone a substantial metamorphosis since the emergence of India and Pakistan from the British Indian Empire in 1947. India's first prime minister, Jawaharlal Nehru (1947–64), had deliberately limited the expansion and modernization of the armed forces. The rationale was twofold: Nehru was acutely concerned about the accelerating costs of defense spending, and he feared that an excessive emphasis on the armed forces would lead to the militarization of society and undermine the nation's fledgling democratic institutions. The disastrous performance of the Indian army during the 1962 border war with China, however, led to a reappraisal of defense strategy and spending. Nehru's legacy eroded rapidly as increasing emphasis was placed on defense needs. The success of the Indian military against Pakistan during their 1971 war contributed to restoring the morale and standing in society of the armed forces. During the rest of the 1970s and in the 1980s, India bolstered its regional preeminence with wide-ranging arms transfers from the Soviet Union. In the late 1980s, in an effort to reduce its dependence on Soviet weaponry, India began to diversify its arms sources. It purchased aircraft, submarines, and long-range artillery pieces from France, the Federal Republic of Germany (West Germany), Sweden, and Britain. Simultaneously, it continued its efforts to expand and strengthen domestic capabilities to manufacture a range of weaponry to maximize self-reliance. The results of these purchases and self-reliance efforts have been mixed.

The 1980s saw not only substantial growth in Indian defense expenditures but also the use of the armed forces in support of larger foreign and security policy goals. Specifically, the army saw action against Pakistani military personnel in disputed areas along the Siachen Glacier in Jammu and Kashmir, deployed at considerable cost as diplomatic efforts failed. All three branches of the armed forces, but particularly the army, were used to pursue India's security and foreign policy objectives in Sri Lanka in the late 1980s (see South Asia, ch. 9). More than 60,000 soldiers were deployed in Sri Lanka as part of the Indian Peace Keeping Force (IPKF) to enforce the terms of the 1987 Indo-Sri Lankan Accord. Designed to serve as a neutral force between contending ethnic forces, the IPKF became

enmeshed in operations against the Liberation Tigers of Tamil Eelam (LTTE). In 1989 the new Sri Lankan president, Rana-singhe Premadasa, ended a five-and-a-half-year state of emergency and asked India to withdraw the IPKF. Accordingly, Indian army units returned home with most goals unmet.

In 1988 a smaller, much shorter-lived Indian expedition successfully ended a military coup attempt in Maldives and demonstrated the military's effective use of airborne and naval forces in a joint operation.

India is the preeminent military power in South Asia, but its margin of superiority over Pakistan—its principal South Asian rival—has eroded because the central government of India is faced with severe budgetary constraints. In addition, the armed forces are no longer able to obtain sophisticated weaponry at highly subsidized prices from Russia, and substantial numbers of army units are tied down in various internal security duties. Insurgencies in Assam, Jammu and Kashmir, and Punjab have necessitated the use of the army in "aid-to-the-civil power" when the local police and central paramilitary forces are unable to maintain public order. Increasingly frequent outbreaks of communal violence also have necessitated the use of the army to restore calm.

The increased reliance on the army for internal security duties generated concern among senior officers in the early 1990s. Then chief of army staff General Sunith Francis Rodrigues repeatedly expressed his misgivings about the inordinate use of the army to deal with civil problems because such actions increased the risk of politicizing the armed forces and reduced their battle readiness. Moreover, the very nature of counterinsurgent and counterterrorist operations exposed the army to charges of human rights violations. In 1993, at the insistence of the army, the government agreed to examine this growing problem. Discussions focused on improving the recruitment, training, and organization of the various central paramilitary forces.

The air force and the navy underwent considerable growth and modernization during the 1980s, although their plans for modernization and expansion, like those of the army, were hobbled by financial constraints. Nevertheless, the navy has adequate capabilities for coastal defense as well as the protection of offshore union territories in the Bay of Bengal and the Arabian Sea. The air force is equipped with modern combat aircraft and has moderate airlift capabilities.

Human rights violations in Assam, Jammu and Kashmir, Punjab, and other parts of the country have largely been attributed to the paramilitary forces. The army has willingly acknowledged that some lapses have occurred within its own ranks. It also has court-martialed officers and enlisted personnel charged with breaches of proper discipline and conduct. However, the army has refused to divulge any details about the extent of these problems. The numbers of individuals prosecuted, their ranks, and their names remain outside the public domain. Nevertheless, Amnesty International and Asia Watch have reported on incidents they have been able to document.

## Colonial-Era Developments

### Company Armies

The roots of the modern Indian army are traced to the forces employed by the English (later British) East India Company, chartered in 1600, and the French East India Company (Compagnie des Indes Orientales), established in 1664. The French, headquartered at Pondicherry (Puduchcheri) by the 1670s, were the first to raise Indian companies and use them in conjunction with European soldiers. Subsequently, in the 1740s, the British started to organize and train Indian units. British units were divided into three armies corresponding to the company's centers of Bengal (headquartered at Fort William in Calcutta), Bombay (or Mumbai in the Marathi language), and Madras (headquartered at Fort Saint George). In 1748 the East India Company armies were brought under the command of Stringer Lawrence, who is regarded by historians as the progenitor of the modern Indian army. Under his guidance, British officers recruited, trained, and deployed these forces. Although formally under a unified command, the three armies in practice exercised considerable autonomy because of the great distances that separated them.

Toward the end of the eighteenth century, the vast majority of the soldiers of each army was composed of Indian troops known as sepoys (from the Hindi *sipahi*, meaning police officer, or, later, soldier). Sepoy units had Indian junior commissioned officers who could exercise only low-level command. British officers held all senior positions. No Indian had any authority over non-Indians. In addition to these all-Indian units, the British deployed some units of the British Army.



## **The Indian Military under the British Raj**

### ***Post-Sepoy Rebellion Reorganization***

Shortly after the Sepoy Rebellion of 1857–58, the role of the presidency armies was reevaluated (see *The British Raj*, 1858–1947, ch. 1). In 1861 the Bengal Army was disbanded, and the total number of sepoys was reduced from 230,000 to 150,000 while the British element was increased from 40,000 to 75,000. Most Indian artillery units were disbanded, and artillery was placed under British control. Under the aegis of the imperial "divide and rule" policy, which had its inception at this time, the British ensured that a sense of nationality would not be allowed to develop among the sepoys. The growth of such feelings, it was feared, would undermine the prospects of imperial control. Accordingly, Indian regiments increasingly were organized on a territorial basis; individual companies—and in some cases entire regiments—were drawn from the same religious, tribal, or caste backgrounds. When companies from several regiments were grouped into battalions, considerable efforts were made to promote cultural and social distinctions among companies of different compositions.

### ***"Martial Races" Theory***

By the end of the nineteenth century, recruitment was confined to certain social classes and communities—principally those in the northern border areas and Punjab. The narrowing recruitment base was a response to the Sepoy Rebellion and reflected the needs of prevailing security requirements. The bulk of the rebels in the Bengal Army came from the Indo-Gangetic Plain while those that had remained loyal were mostly from Punjab.

The experience of the mutiny also gave rise to a pseudo-ethnological construction, the concept of "martial races" in South Asia. The popularization of this notion was widely attributed to Frederick Sleigh Roberts, Earl of Kandahar, Pretoria, and Waterford; Roberts was an Indian-born veteran of the British forces that put down the Sepoy Rebellion and the commander in chief of the British Indian Army from 1885 to 1893. Roberts believed that the most martial races were located in northwestern India. He regarded Bengalis, Marathas, and southern ethnic groups as lacking in martial virtues. Their warlike propensities, he contended, had dissipated because of the ease of living and the hot, enervating climate of these regions.

Roberts's views profoundly influenced the composition of the British Indian army in the last decades of the nineteenth century. For example, when the Bengal Army was reestablished in 1885, its new units were drawn from Punjab. In 1892 army policy was changed significantly. Units were no longer raised on a territorial basis but along what was referred to as "class" lines. In effect, regiments admitted only those having similar ethnic, religious, or caste backgrounds. Between 1892 and 1914, recruitment was confined almost entirely to the martial races. These modes of recruitment and organization created a professional force profoundly shaped by caste and regional factors and loyal and responsive to British command. The procedures also perpetuated regional and communal ties and produced an army that was not nationally based.

### *Administrative Reform and World War I*

Administrative reforms in 1895 abolished the presidency armies, and command was centralized under the aegis of a single army headquarters at Delhi. In the early twentieth century, the process of centralization continued; and during this period, the separation between military and civilian spheres of influence and the ultimate primacy of civilian authority gained final acceptance in both civilian and military circles.

During World War I, India's contribution of troops, money, and supplies to the Allied cause was substantial. More than 1 million Indian soldiers were sent abroad, and more than 100,000 were either killed or wounded.

The mobilization for the war effort revealed a number of shortcomings in the military establishment. Officer casualties had a particularly pernicious effect on military formations because only the British officers assigned to a battalion had the authority and standing to exercise overall command. In addition, Indian officers from one company could rarely be transferred to another having a different ethnic, religious, or caste makeup. As a consequence, after the war most battalions were reorganized to ease reinforcement among component companies. Strong pressure from the Indian public also drove the British to begin training a small complement of Indians for commissions as a first step in the Indianization of the officer corps. The Royal Indian Air Force was established in 1932, and a small Indian marine unit was reorganized into the Royal Indian Navy in 1934. Indian artillery batteries were first formed only in 1936. Although the practice of limiting recruitment to

the martial races had proved inadequate during World War I and entry had been opened to "nonmartial" groups, the traditional recruitment emphasis on martial races was nonetheless resumed after demobilization.

### *World War II*

The political situation in India underwent a fundamental transformation at the time of Britain's entry into World War II (see *Political Impasse and Independence*, ch. 1). The viceroy and governor general of India, Victor Alexander John Hope, Marquis of Linlithgow, without consulting Indian political leaders, declared India to be at war with Germany on September 3, 1939. The legislature sustained the viceregal decree and passed the Defence of India Bill without opposition, as the representatives of the Indian National Congress (the Congress—see *Glossary*) boycotted the session. Between 1939 and mid-1945, the British Indian Army expanded from about 175,000 to more than 2 million troops—entirely through voluntary enlistment. The incipient naval and air forces were also expanded, and the Indian officer corps grew from 600 to more than 14,000. Indian troops were deployed under overall British command in Africa, Italy, the Middle East, and particularly in Burma and Southeast Asia. The great expansion in strength, the overseas service of Indian forces, and the demonstrated soldierly ability of Indians from all groups did much to dispel the martial races theory.

In Asia the Japanese sought to exploit Indian nationalism and anti-British sentiment by forming and supporting the Indian National Army (INA—Azad Hind Fauj), which was composed primarily of 25,000 of the 60,000 Indian troops who had surrendered to the Japanese in Singapore in February 1942. The army was led by Subhas Chandra Bose, a former militant president of the Congress, who also appointed himself head of the Provisional Government of Azad India (Free India). An unusual feature of the INA was an all-woman, intercaste regiment composed of some 1,500 Indian women from Burma, Malaya, and Singapore. Both the women and the 25,000-strong male contingent were organized to fight alongside the Japanese in Burma, but they actually saw little action. Only 8,000 were sent to the front. Japanese and INA troops invaded Manipur in March 1944 and fought and were defeated in battles at Imphal and Kohima (see fig. 1). By May 1945, the INA had disintegrated because of acute logistical problems, defec-



tions, and superior British-led forces. It is widely held that Bose was killed in an air crash in Taiwan as he fled at the end of the war. The British court martialled three INA officers. Nationalist-minded lawyers, including Nehru, defended them as national heroes, and the British, feeling intense public pressure, found them guilty but cashiered them without any further punishment. However, after independence Nehru refused to reinstate them into the Indian armed forces, fearing that they might sow discord among the ranks.

## **Postindependence Developments**

### **The National Forces**

Following independence in 1947, important organizational changes strengthened civilian control over the military. The position of commander in chief was abolished in 1955, and the three service chiefs were placed on an equal footing beneath the civilian Ministry of Defence. These changes significantly reduced the influence of the numerically superior army, to which the other services had been subordinate, and limited the service chiefs to advisory roles in the defense decision-making process. The changes reflected the ambiguous feelings of the civilian leadership toward the military. Nehru and other Indian nationalists saw the military as an institution strongly wedded to the colonial past. The heritage of nonviolence (*ahimsa*) of Mohandas Karamchand Gandhi also was important to the national political leadership (see *Mahatma Gandhi*, ch. 1).

Independence and the partition from Pakistan imposed significant costs on the Indian defense establishment that took years to redress. The partition of the country had entailed the division of the armed forces personnel and equipment. Predominantly Muslim units went to Pakistan, followed later by individual transfers. Close to two-thirds of all army personnel went to India. As a secular state, India accepted all armed forces personnel without regard to religious affiliation. The division of the navy was based on an estimation of each nation's maritime needs. A combination of religious affiliation and military need was applied to the small air force. As a result of partition, India also received about two-thirds of the matériel and stores. This aspect of the division of assets was complicated by the fact that all sixteen ordnance factories were located in India. India was allowed to retain them with the proviso that it

would make a lump sum payment to Pakistan to enable it to develop its own defense production infrastructure.

Independence also resulted in a dramatic reduction of the number of experienced senior personnel available. In 1947 only six Indians had held brigade-level commands, and only one had commanded a division. British officers, out of necessity, were retained for varying periods of time after independence. British chiefs stayed on the longest in the navy and the air force. The navy had a British service chief until 1962 and the air force until 1954. The armed forces also integrated qualified members of the armies of the former princely states that acceded to India (see National Integration, ch. 1). The term *sepoy*, made popular during the colonial era, was dropped about this time, and the word *jawan* (Hindi for able-bodied man) has been used ever since when referring to the Indian soldier.

## The Experience of Wars

### *Pakistan*

The first test for the Indian armed forces came shortly after independence with the first Indo-Pakistani conflict (1947–48). The military was called upon to defend the borders of the state of Jammu and Kashmir when tribals—principally Pathans—attacked from the northwest reaches of Kashmir on October 22, 1947. India's 161st Infantry Brigade was deployed and thwarted the advance of the tribal forces. In early November 1947, the 161st counterattacked and successfully broke through the enemy defenses. Despite early successes, the Indian army suffered a setback in December because of logistical problems. The problems enabled the forces of Azad Kashmir (Free Kashmir, as the part of Kashmir under Pakistani control is called) to take the initiative and force the Indian troops to retreat from the border areas. In the spring of 1948, the Indian side mounted another offensive to retake some of the ground that it had lost. No doubt fearing that the war might move into Pakistan proper, regular units of the Pakistani army became more actively involved. As the conflict escalated, the Indian leadership was quick to recognize that the war could not be brought to a close unless Pakistani support for the Azad Kashmir forces could be stopped. Accordingly, on the advice of Governor General Earl Louis Mountbatten (Britain's last viceroy in India in 1947 and governor general of India, 1947–48),

the Indian government sought United Nations (UN) mediation of the conflict on December 31, 1947. There was some opposition to this move within the cabinet by those who did not agree with referring the Kashmir dispute to the UN. The UN mediation process brought the war to a close on January 1, 1949. In all, 1,500 soldiers died on each side during the war.

The second Indo-Pakistani conflict (1965) was also fought over Kashmir and started without a formal declaration of war. It is widely accepted that the war began with the infiltration of Pakistani-controlled guerrillas into Indian Kashmir on about August 5, 1965. Skirmishes with Indian forces started as early as August 6 or 7, and the first major engagement between the regular armed forces of the two sides took place on August 14. The next day, Indian forces scored a major victory after a prolonged artillery barrage and captured three important mountain positions in the northern sector. Later in the month, the Pakistanis counterattacked, moving concentrations near Tithwal, Uri, and Punch. Their move, in turn, provoked a powerful Indian thrust into Azad Kashmir. Other Indian forces captured a number of strategic mountain positions and eventually took the key Haji Pir Pass, eight kilometers inside Pakistani territory.

The Indian gains led to a major Pakistani counterattack on September 1 in the southern sector, in Punjab, where Indian forces were caught unprepared and suffered heavy losses. The sheer strength of the Pakistani thrust, which was spearheaded by seventy tanks and two infantry brigades, led Indian commanders to call in air support. Pakistan retaliated on September 2 with its own air strikes in both Kashmir and Punjab. The war was at the point of stalemate when the UN Security Council unanimously passed a resolution on September 20 that called for a cease-fire. New Delhi accepted the cease-fire resolution on September 21 and Islamabad on September 22, and the war ended on September 23. The Indian side lost 3,000 while the Pakistani side suffered 3,800 battlefield deaths. The Soviet-brokered Tashkent Declaration was signed on January 10, 1966. It required that both sides withdraw by February 26, 1966, to positions held prior to August 5, 1965, and observe the cease-fire line agreed to on June 30, 1965.

The origins of the third Indo-Pakistani conflict (1971) were different from the previous conflicts. The Pakistani failure to accommodate demands for autonomy in East Pakistan in 1970 led to secessionist demands in 1971 (see *The Rise of Indira Gandhi*, ch. 1). In March 1971, Pakistan's armed forces

launched a fierce campaign to suppress the resistance movement that had emerged but encountered unexpected mass defections among East Pakistani soldiers and police. The Pakistani forces regrouped and reasserted their authority over most of East Pakistan by May.

As a result of these military actions, thousands of East Pakistanis died at the hands of the Pakistani army. Resistance fighters and nearly 10 million refugees fled to sanctuary in West Bengal, the adjacent Indian state. By midsummer, the Indian leadership, in the absence of a political solution to the East Pakistan crisis, had fashioned a strategy designed to assist the establishment of the independent nation of Bangladesh. As part of this strategy, in August 1971, India signed a twenty-year Treaty of Peace, Friendship, and Cooperation with the Soviet Union. One of the treaty's clauses implied that each nation was expected to come to the assistance of the other in the event of a threat to national security such as that occurring in the 1965 war with Pakistan. Simultaneously, India organized, trained, and provided sanctuary to the Mukti Bahini (meaning Liberation Force in Bengali), the East Pakistani armed resistance fighters.

Unable to deter India's activities in the eastern sector, on December 3, 1971, Pakistan launched an air attack in the western sector on a number of Indian airfields, including Ambala in Haryana, Amritsar in Punjab, and Udhampur in Jammu and Kashmir. The attacks did not succeed in inflicting substantial damage. The Indian air force retaliated the next day and quickly achieved air superiority. On the ground, the strategy in the eastern sector marked a significant departure from previous Indian battle plans and tactics, which had emphasized set-piece battles and slow advances. The strategy adopted was a swift, three-pronged assault of nine infantry divisions with attached armored units and close air support that rapidly converged on Dhaka, the capital of East Pakistan. Lieutenant General Sagat Singh, who commanded the eighth, twenty-third, and fifty-seventh divisions, led the Indian thrust into East Pakistan. As these forces attacked Pakistani formations, the Indian air force rapidly destroyed the small air contingent in East Pakistan and put the Dhaka airfield out of commission. In the meantime, the Indian navy effectively blockaded East Pakistan. Dhaka fell to combined Indian and Mukti Bahini forces on December 16, bringing a quick end to the war.



*Indian infantry during the  
1962 border war with China  
Courtesy U.S. News and World  
Report Collection, Library of  
Congress*



Action in the western sector was divided into four segments, from the cease-fire line in Jammu and Kashmir to the marshes of the Rann of Kutch in northwestern Gujarat. On the evening of December 3, the Pakistani army launched ground operations in Kashmir and Punjab. It also started an armored operation in Rajasthan. In Kashmir, the operations were concentrated on two key points, Punch and Chhamb. The Chhamb area witnessed a particularly intense battle where the Pakistanis forced the Indians to withdraw from their positions. In other parts of Kashmir, the Indians made some small gains along the cease-fire line. The major Indian counteroffensive came in the Sialkot-Shakargarh area south and west of Chhamb. There, two Pakistani tank regiments, equipped with United States-made Patton tanks, confronted the Indian First Armored Corps, which had British Centurion tanks. In what proved to be the largest tank battle of the war, both sides suffered considerable casualties.

Though the Indian conduct of the land war on the western front was somewhat timid, the role of the Indian air force was both extensive and daring. During the fourteen-day war, the air force's Western Command conducted some 4,000 sorties. There was little retaliation by Pakistan's air force, partly because of the paucity of non-Bengali technical personnel.

Additionally, this lack of retaliation reflected the deliberate decision of the Pakistan Air Force headquarters to conserve its forces because of heavy losses incurred in the early days of the war.

### *China*

The Chinese have two major claims on what India deems its own territory. One claim, in the western sector, is on Aksai Chin in the northeastern section of Ladakh District in Jammu and Kashmir. The other claim is in the eastern sector over a region included in the British-designated North-East Frontier Agency, the disputed part of which India renamed Arunachal Pradesh and made a state. In the fight over these areas, the well-trained and well-armed troops of the Chinese People's Liberation Army overpowered the ill-equipped Indian troops, who had not been properly acclimatized to fighting at high altitudes.

Unable to reach political accommodation on disputed territory along the 3,225-kilometer-long Himalayan border, the Chinese attacked India on October 20, 1962. At the time, nine divisions from the eastern and western commands were deployed along the Himalayan border with China. None of these divisions was up to its full troop strength, and all were short of artillery, tanks, equipment, and even adequate articles of clothing.

In Ladakh the Chinese attacked south of the Karakoram Pass at the northwest end of the Aksai Chin Plateau and in the Pangong Lake area about 160 kilometers to the southeast. The defending Indian forces were easily ejected from their posts in the area of the Karakoram Pass and from most posts near Pangong Lake. However, they put up spirited resistance at the key posts of Daulat Beg Oldi (near the entrance to the pass) and Chushul (located immediately south of Pangong Lake and at the head of the vital supply road to Leh, a major town and location of an air force base in Ladakh). Other Chinese forces attacked near Demchok (about 160 kilometers southeast of Chushul) and rapidly overran the Demchok and the Jara La posts.

In the eastern sector, in Assam, the Chinese forces advanced easily despite Indian efforts at resistance. On the first day of the fighting, Indian forces stationed at the Tsang Le post on the northern side of the Namka Chu, the Khinzemane post, and near Dhola were overrun. On the western side of the North-

East Frontier Agency, Tsang Dar fell on October 22, Bum La on October 23, and Tawang, the headquarters of the Seventh Infantry Brigade, on October 24. The Chinese made an offer to negotiate on October 24. The Indian government promptly rejected this offer.

With a lull in the fighting, the Indian military desperately sought to regroup its forces. Specifically, the army attempted to strengthen its defensive positions in the North-East Frontier Agency and Ladakh and to prepare against possible Chinese attacks through Sikkim and Bhutan. Army units were moved from Calcutta, Bihar, Nagaland, and Punjab to guard the northern frontiers of West Bengal and Assam. Three brigades were hastily positioned in the western part of the North-East Frontier Agency, and two other brigades were moved into Sikkim and near the West Bengal border with Bhutan to face the Chinese. Light Stuart tanks were drawn from the Eastern Command headquarters at Calcutta to bolster these deployments.

In the western sector, a divisional organization was established in Leh; several battalions of infantry, a battery of twenty-five-pounder guns, and two troops of AMX light tanks were airlifted into the Chushul area from Punjab. On November 4, the Indian military decided that the post at Daulat Beg Oldi was untenable, and its defenders were withdrawn over the 5,300-meter-high Sasar Brangsa Pass to a more defensible position.

The reinforcements and redeployments in Ladakh proved sufficient to defend the Chushul perimeter despite repeated Chinese attacks. However, the more remote posts at Rezang La and Gurung Hill and the four posts at Spanggur Lake area fell to the Chinese.

In the North-East Frontier Agency, the situation proved to be quite different. Indian forces counterattacked on November 13 and captured a hill northwest of the town of Walong. Concerted Chinese attacks dislodged them from this hard-won position, and the nearby garrison had to retreat down the Lohit Valley.

In another important section of the eastern sector, the Kameng Frontier Division, six Chinese brigades attacked across the Tawang Chu near Jang and advanced some sixteen kilometers to the southeast to attack Indian positions at Nurang, near Se La, on November 17. Despite the Indian attempt to regroup their forces at Se La, the Chinese continued their onslaught, wiping out virtually all Indian resistance in Kameng. By November 18, the Chinese had penetrated close to the outskirts of

Tezpur, Assam, a major frontier town nearly fifty kilometers from the Assam-North-East Frontier Agency border.

The Chinese did not advance farther and on November 21 declared a unilateral cease-fire. They had accomplished all of their territorial objectives, and any attempt to press farther into the plains of Assam would have stretched their logistical capabilities and their lines of communication to a breaking point. By the time the fighting stopped, each side had lost 500 troops.

The fighting war was over, but a new diplomatic war had begun. After more than thirty years of border tension and stalemate, high-level bilateral talks were held in New Delhi starting in February 1994 to foster "confidence-building measures" between the defense forces of India and China, and a new period of better relations began (see China, ch. 9).

## **Peacekeeping Operations**

In addition to the experience gained in wars with Pakistan and China, the Indian army has been involved in two regional peacekeeping operations. The first was in Sri Lanka from 1987 to 1990, the second in Maldives in 1988. In addition, Indian forces have participated in ten UN peacekeeping forces.

### ***Sri Lanka***

Since the early 1970s, ethnic conflict has pitted Sri Lanka's Tamil minority against the Sinhalese majority over issues of power sharing and local autonomy. The main combatants are the Sri Lankan army and the secessionist Liberation Tigers of Tamil Eelam. Indian involvement, encouraged by pro-Tamil sentiments in its state of Tamil Nadu, which is close to Sri Lanka, and the Indian government's covert aid to and training of Tamil militants between 1977 and 1987, drew India into the conflict. The Indo-Sri Lankan Accord, signed on July 29, 1987, committed New Delhi to deploying a peacekeeping force on the island, making the Indian government the principal guarantor of a solution to the ethnic violence that had heightened dramatically since 1983. Nearly 60,000 Indian troops drawn from two divisions (one from the Central Command and the other from the Southern Command) were in Sri Lanka as the Indian Peace Keeping Force (IPKF) between 1987 and 1990.

Originally sent to Sri Lanka as a neutral body with a mission to ensure compliance with the accord, the IPKF increasingly became a partisan force fighting against Tamils. The popularity of Indian forces, which was never high, decreased still further



amidst charges of rape and murder of civilians. Despite the considerable experience that Indian troops had gained in fighting insurgencies in India's northeast, the IPKF was at a marked disadvantage in Sri Lanka. In fighting Naga and Mizo guerrillas in northeast India, the army had fought on home ground, and the central government could couple the army's efforts with direct political negotiations. In Sri Lanka, the Indian forces did not possess an adequate local intelligence network. Despite the growth of the IPKF to 70,000 strong, the predominantly urban context of northern Sri Lanka imposed constraints on the use of force. It also is widely believed that Sri Lankan forces offered only grudging cooperation. Given the inability of the IPKF to prevent either Sinhalese or Tamil extremist actions, it steadily lost the support of both sides in the conflict.

As the Sri Lankan presidential elections approached in December 1988, both the contending parties, the ruling United National Party led by then Prime Minister Ranasinghe Premadasa, and the three-party United Front led by former Prime Minister Sirimavo Ratwatte Dias Bandaranaike, expressed their reservations about the 1987 accord. Premadasa was elected, and after he was inaugurated, he declared an end to the five-and-a-half-year state of emergency and asked India to withdraw the IPKF. In July 1989, the IPKF started a phased withdrawal of its remaining 45,000 troops, a process that took until March 1990 to complete.

During the three-year involvement, some 1,500 Indian troops were killed and more than 4,500 were wounded during this operation. Another casualty resulting from the Sri Lanka mission was the assassination of former Prime Minister Rajiv Gandhi by a Tamil militant in 1991. As a participant in what began as a peacekeeping mission, the Indian armed forces learned some valuable lessons. These included the realization that better coordination is needed between military and political decision makers for such missions. One of the commanders of the IPKF also noted that training, equipment, and command and control needed improvement.

In 1995, at the request of the Sri Lankan government, Indian naval ships and air force surveillance aircraft established a quarantine zone around the LTTE stronghold in the Jaffna area. The supply of military matériel by Indian sympathizers to the Tamil insurgents in Sri Lanka from Tamil Nadu,

just thirty-five kilometers across the Palk Strait, was an ongoing problem that continued to keep India involved in the conflict.

### *Maldives*

In 1988, the Indian Army experienced a small success in squashing an attempted coup in Maldives, 600 kilometers south of India in the Indian Ocean. Maldivian minister of foreign affairs Fathullah Jameel had called Rajiv Gandhi (India's prime minister from 1984 to 1989) at 5:30 a.m. on November 3, 1988 to request India's assistance. By 9:00 a.m. the same morning, India's Cabinet Committee on Political Affairs had been convened. At noon the same day, the committee gave its approval for military support to the regime of President Maumoon Abdul Gayoom. Later in the day, the first Indian troops were airlifted from a military base at Agra, Uttar Pradesh. Some 1,600 Indian troops were dispatched within hours. During the next three days, the mercenaries involved in the attempted coup were rounded up by Indian troops who had parachuted in. The Indian navy also effectively blocked maritime escape routes the coup leaders might have taken. The operation was completed by November 6.

Three important inferences can be made from this successful attempt at force projection. First, it demonstrated that sufficient interservice cooperation existed to allow the armed forces to respond rapidly to political directives. Second, it showed the capability of the armed forces to airlift troops regionally at short notice. And third, it demonstrated the willingness of the Indian political leadership to use its military strength in the region to support a friendly regime.

### *United Nations Peacekeeping Forces*

Indian armed forces personnel have been involved in a variety of UN-sponsored peacekeeping missions and military observer operations, giving them invaluable experience in interacting with the armed forces of other nations. In addition, although it was not a peacekeeping force per se, an Indian airborne field ambulance unit participated in the Korean War (1950-53).

Indian infantry, supply, transportation, and signal units served between 1956 and 1967 with the First United Nations Emergency Force in the Suez Canal, Sinai Peninsula, and Gaza. From 1960 to 1964, Indian infantry, aircraft, and medical personnel, and air dispatch, signal, supply, and postal units served

in the Congo (as Zaire was then named). Indian military observers participated in UN observation groups in Lebanon in 1958; Yemen in 1963–64 (where India supplied one of the chiefs of staff); West Irian (which later became Indonesia's Irian Barat Province) in 1962–63; the Iran-Iraq border in 1988–91; Angola in 1989–91; and Costa Rica, El Salvador, Guatemala, Honduras, and Nicaragua in 1989–92. Military observers, police monitors, and election supervisors were sent to Namibia in 1989 and 1990 to help oversee elections.

In the 1990s, more military observers were sent abroad. There was a second observers' mission to Angola (1991–92) as well as missions to El Salvador (starting in 1991), former Yugoslavia (starting in 1992), and Mozambique (starting in 1992). The last was a force of more than 900 administrative, engineering, and logistic personnel. A sappers' contingent charged with clearing landmines and related construction projects participated in the United Nations Transitional Authority in Cambodia in 1992–93. An infantry brigade—including army physicians, nurses, veterinarians, a tank squadron, a mechanized battalion, a 120-millimeter mortar battery, an engineer company, and two flights of helicopters—and an air force helicopter detachment, a force totalling nearly 5,000 personnel, were sent to Somalia in 1993–94 to participate in peacekeeping and humanitarian relief efforts.

In an effort to achieve some joint operational understanding with other nations' forces, India has also cooperated in various peacetime joint exercises with Indian Ocean nations and with the United States. In 1992, India and the United States conducted joint naval exercises in the Arabian Sea near Kochi (Cochin), and in 1994 Indian marine commandos and United States Marines conducted joint exercises with little fanfare.

## **National Security Structure**

### **Civil-Military Relations**

The pattern of civil-military relations prevailing in India was created by the staff of Lord Mountbatten as a three-tier system extending from the prime minister to the three service chiefs. At the apex of this structure is the Political Affairs Committee of the Cabinet. The second level is the Defence Minister's Committee of the Cabinet, and the third level is the Chiefs of Staff Committee. Other committees, such as the Joint Intelligence Committee, the Defence Science Advisory Committee, and the



Joint Planning Committee, assist the higher committees. There were proposals in the mid-1990s to establish a joint defense staff for better integration of interservice resources, programs, policies, and operations (see fig. 16).

In the immediate postindependence period, the Defence Minister's Committee of the Cabinet did not play an active role in policy formulation. The higher organization of defense was vested largely with the minister of defence. From 1957 to 1962, this position was held by V.K. Krishna Menon, whose authority far exceeded that usually accorded a minister of defence. A confidante of Nehru's through much of the late preindependence period, Menon functioned as Nehru's alter ego for national security and defense planning. Consequently, the locus of decision making shifted from the cabinet to the Defence Minister's Committee. Menon was in many ways responsible for laying the foundations of India's military-industrial base.

Among other endeavors, Menon was responsible for the development of ordnance facilities to manufacture the Ichapore semiautomatic rifle; a tank manufacturing complex at Avadi, Tamil Nadu; facilities to build frigates at the Mazagon Dock naval shipyard in Bombay; and the licensed manufacture of Soviet-designed MiG-23 fighter aircraft in Nasik, Maharashtra. However, his highly idiosyncratic manners, his high-handed ways, and his involvement in the tactical aspects of military decision making had negative consequences. For example, he quarrelled with the professional military, particularly India's third chief of army staff, General K.S. Thimayya, over Thimayya's attempt to warn Menon and Nehru about the emerging Chinese threat as early as 1959. When Thimayya resigned in protest, Nehru prevailed upon him to withdraw his resignation. Unfortunately, when questioned in the Lok Sabha (House of the People), the lower house of the Parliament, about Thimayya's resignation, Nehru offered a rather weak defense of the general's actions and sought to deflect the criticisms of his minister of defence (see *The Legislature*, ch. 8). When Thimayya retired as chief of army staff in May 1961, Menon passed over Thimayya's designated successor, Lieutenant General S.P.P. Thorat, and instead appointed a junior officer, Lieutenant General P.N. Thapar. The appointment not only created a rift between the professional military and political leadership but also alienated a number of high-ranking officials in the Ministry of Defence. Menon's actions also



demoralized competent personnel in the civilian and military bureaucracies, which led to important gaps in defense preparedness and planning. Menon's dominance of the defense planning process significantly contributed to the military debacle of 1962.

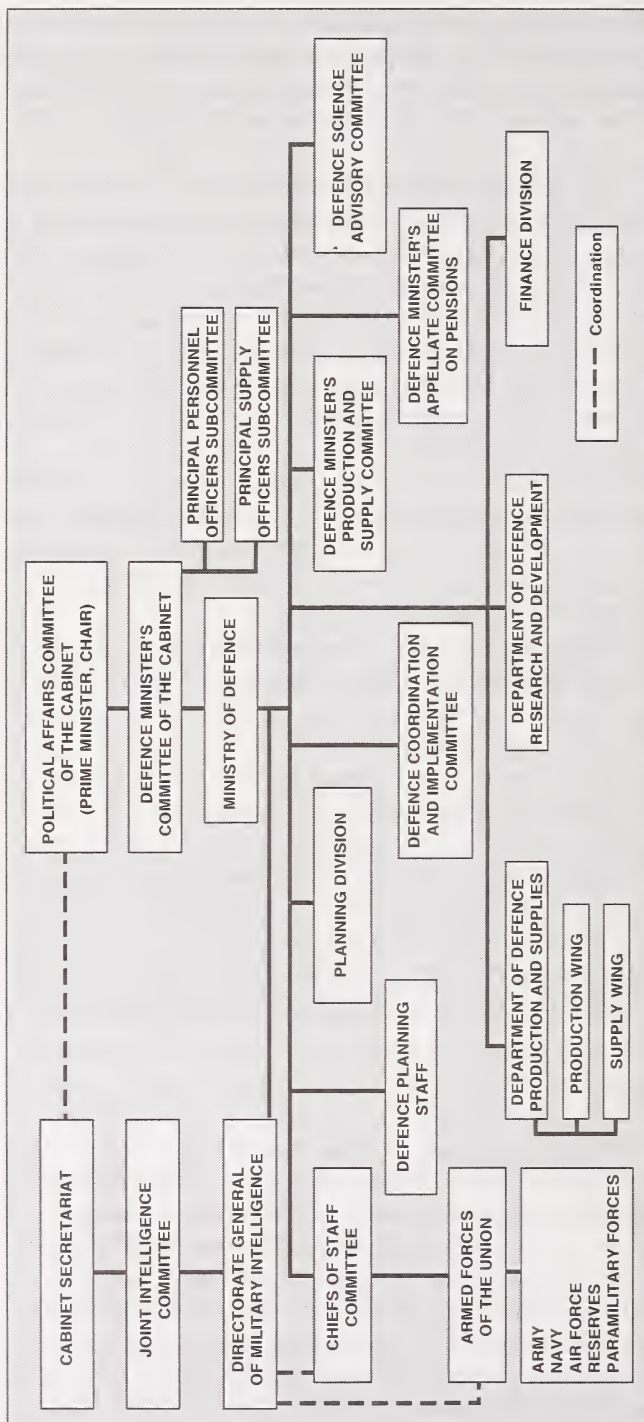
The Indian defeat led to the establishment of a new Emergency Committee of the Cabinet. This committee introduced a system of "morning meetings" with the minister of defence and the three service chiefs. The morning meetings, which are conducted without a predetermined agenda, deal with current defense issues on a regular basis. The meetings are also attended by the cabinet secretary, the defence secretary, and the scientific adviser to the minister of defence. These morning meetings continue to take place.

In the Chiefs of Staff Committee, formal equality prevails among the three service chiefs despite the fact that the army remains the largest of the three branches of the armed services. This formal equality among the three services came about with independence.

To facilitate defense planning, the government established two organizations: the Defence Coordination and Implementation Committee and the Defence Planning Staff. The Defence Coordination and Implementation Committee is chaired by the defence secretary and meets on an ad hoc basis. Its membership includes the three service chiefs, representatives from civilian and military intelligence organizations, and the secretary of defence production. The Defence Planning Staff, a permanent body, was established in 1986. Composed of officers drawn from all three services, it is responsible for developing overall national security strategy. It is also charged with briefing the Chiefs of Staff Committee on long-term threats to national security.

## **Defense Spending**

Until 1962 defense spending was deliberately limited. In the wake of the war with China, defense spending rose from 2.1 percent of the gross national product (GNP—see Glossary) in fiscal year (FY—see Glossary) 1962 to 4.5 percent in FY 1964. In FY 1994, defense spending was slightly less than 5 percent of gross domestic product (GDP—see Glossary). In terms of dollars, FY 1994 total defense services expenditures were projected at US\$7.2 billion (but are likely to have been close to US\$7.8 billion). Proportionately, based on figures provided by the gov-



Source: Based on information from India, Ministry of Defence, *Defence Services Estimates, 1994-95*, New Delhi, 1994, 91-95.

Figure 16. National Security Structure, 1995

ernment, 48.4 percent of expenditures were for the army, 15.7 percent for the air force, 5.9 percent for the navy, and 30 percent for capital outlays for defense services and defense ordnance factories. The latter provide matériel to the armed forces through some thirty-nine ordnance factories and eight public-sector enterprises that build ships, aircraft, and major defense items. The defense budget for FY 1994 was 6.5 percent higher than the revised estimate for FY 1993. The allocation increased to 14.9 percent of the total central government budget, up from 13 percent in the previous two fiscal years. Nuclear energy and space research are not fully accounted for in the defense budget, but most paramilitary forces fall within the purview of the Ministry of Defence.

## **Organization and Equipment of the Armed Forces**

### **The Army**

In 1994 the army had approximately 940,000 men and women in its ranks and more than 36,000 in reserve forces. The army is headquartered in New Delhi and is under the direction of the chief of the army staff, always a full general. The chief of the army staff is assisted by a vice chief, two deputy chiefs, a military secretary, and the heads of four main staff divisions: the adjutant general, the quartermaster general, the master general of ordnance, and the engineer in chief.

The army has five tactical area commands: the Northern Command headquartered at Udhampur in Jammu and Kashmir, the Western Command headquartered at Chandimandir in Chandigarh, the Central Command headquartered at Lucknow in Uttar Pradesh, the Eastern Command headquartered at Calcutta, and the Southern Command headquartered at Pune in Maharashtra (see fig. 17). Each command is headed by a lieutenant general. The principal combat formations within the scope of these commands are armored divisions and independent armored brigades, infantry divisions, mountain infantry divisions, independent infantry brigades, airborne/commando brigades, and independent artillery brigades (see table 34, Appendix). These units are organized in twelve corps-level formations.

The army is equipped with some 3,400 main battle tanks. Of these, 1,200 are indigenously manufactured Vijayanta tanks. Additionally, the army has some T-55, T-72, and PT-76 tanks. The Arjun main battle tank has been under development by

the Defence Research and Development Organisation (DRDO) since 1983, and, in 1995, limited production was expected to begin in 1996.

To complement indigenous production, however, it was reported in 1994 that Russia had agreed to help India modernize its T-72 tanks and to sell and lease other types of weapons. It is generally understood that about 70 percent of India's military equipment is of Soviet origin. Some army officials continue to favor Russian-made equipment, such as the T-72 tank, over Indian adaptations of the same items, such as the T-72 MI tank developed by the DRDO.

The army also has substantial artillery forces. The best estimate places the army's towed artillery capabilities at more than 4,000 pieces. In addition to the towed artillery, the army has self-propelled artillery. Finally, it has substantial numbers of surface-to-air missile capabilities, the total number being more than 1,200. In 1986 air observation post units were transferred from the air force to the army to form the Army Aviation branch. Using nine helicopter squadrons, Army Aviation has supported ground units in the Siachen Glacier in Jammu and Kashmir and in Sri Lanka, as well as counterinsurgency operations in various parts of the country. Army Aviation has also participated in disaster relief.

Apart from its nine squadrons of helicopters, the army has eight air observation squadrons and six antitank/transport squadrons. It relies on the air force for air support, lift capabilities, and air supply (see table 35, Appendix).

An extensive body of schools and centers supports army operations. The officer corps is largely drawn from the National Defence Academy at Khadakvasla, Maharashtra, a joint services training institution that provides educational equivalents to the bachelor of arts or bachelor of science degrees to cadets for all three service arms. Cadets spend their first three years at the National Defence Academy and then are sent to their respective service academies for further training before being commissioned in the armed forces. A preparatory school, the Rashtriya Indian Military College, at Dehra Dun, Uttar Pradesh, provides education to candidates for the National Defence Academy. After completing their studies at the National Defence Academy, army cadets are sent to the Indian Military Academy at Dehra Dun. Other Indian Military Academy cadets are graduates of the Army Cadet College or are direct-entry students who have qualified by passing the





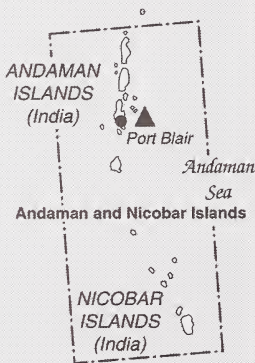
Figure 17. Area Commands of the Army, Navy, and Air Force, 1995

- International boundary
- - - International boundary in dispute
- . - State or union territory boundary
- ===== Army area command boundary
- ✳ National capital
- Populated place
- ☆ Army area command headquarters
- ⚓ Naval area command headquarters
- ✈ Air force operational command headquarters
- ▲ Triservice area command\*

\*Reports to Eastern Naval Command headquarters



Bay of Bengal



representation  
by authoritative

Union Public Service Commission Examination. They spend between twelve and twenty-four months at the Indian Military Academy before being commissioned in the army as second lieutenants. Still other officer training occurs at the Officers' Training Academy in Madras, Tamil Nadu, where a forty-four-week session is offered to university graduates seeking a short-service commission.

In addition to the Indian Military Academy, the army runs a number of military education establishments. The more prominent ones include the College of Combat at Mhow, Madhya Pradesh; the High Altitude Warfare School at Gulmarg, Jammu and Kashmir; and the Counter-Insurgency and Jungle Warfare School at Vairengte, Mizoram. The army also operates the Defence Services Staff College at Wellington in the Nilgiri Hills in Tamil Nadu, which provides master of science-level joint-service training for mid-level staff appointments and promotes interservice cooperation.

In 1994 it was reported that there were 200 women in the armed forces. In the army, which employs women as physicians and nurses, the participation of women is small but growing. The Indian Military Nursing Service was formed in 1926 and has eight nursing schools (five army, two navy, and one air force) and one nursing college in Pune. Bachelor of science graduates are commissioned as lieutenants in the Medical Nursing Service and attached to the various components of the armed forces. Ranks as high as colonel can be attained by career officers. In the mid-1990s, a small but increasing number of women officers were being assigned to nonmedical services. In 1994, there were fifty women nonmedical army officers and another twenty-five in training. They are university graduates who have been put through rigorous training and are reported to be eager for combat unit assignments.

## **The Navy**

The origins of the modern Indian navy are traced to a maritime force established by the East India Company in the seventeenth century. This force had a variety of names—the Bombay Marine, the Indian Navy, and the Indian Marine. In 1934 the Royal Indian Navy was established, with Indians serving primarily in lower-level positions. After independence the navy was the most neglected of the three services because the national leadership perceived that the bulk of the threats to India were land-based.





Figure 17. Area Commands of the Army, Navy, and Air Force, 1995

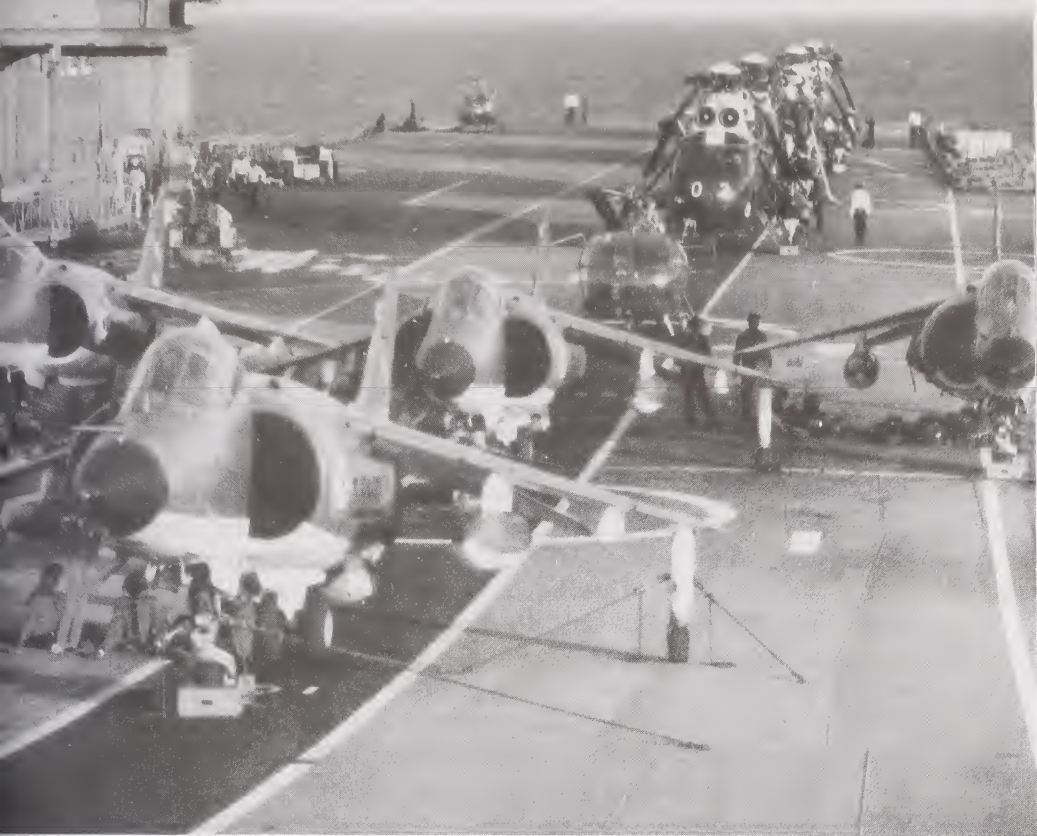




The first efforts at naval rearmament emerged in the 1964–69 Defence Plan, which called for the replacement of India's aging fleet and the development of a submarine service. Between 1947 and 1964, fiscal constraints had prevented the implementation of ambitious plans for naval expansion. Consequently, many of the vessels were obsolete and of little operational value. As part of this expansion program, the British helped develop the Mazagon Dock shipyard for the local production of British Leander-class frigates. The Soviets, however, were willing to support all phases of the planned naval expansion. Accordingly, they supplied naval vessels, support systems, and training on extremely favorable terms. By the mid-1960s, they had replaced Britain as India's principal naval supplier (see table 36, Appendix).

During the 1980s, Indian naval power grew significantly. During this period, the naval facilities at Port Blair in the Andaman Islands, in the Nicobar Islands, and in Lakshadweep were significantly upgraded and modernized. A new line of Leander-class frigates was manufactured at Mazagon Dock in collaboration with Vickers and Yarrow of Britain. These frigates, redesignated as the Godavari class, have antisubmarine warfare capabilities and can carry two helicopters. During the 1980s, plans were also finalized for the licensed manufacture of a line of West German Type 1500 submarines (known as the Shishumar class in India). In addition to these developments at Mazagon Dock, the naval air arm also was upgraded. India purchased nearly two squadrons of the vertical and short take-off and landing (VSTOL) Sea Harriers to replace an earlier generation of Sea Hawks.

In the mid-1990s, India was preparing for a major modernization program that was to include completion of three 5,000-ton Delhi-class destroyers, the building of three 3,700-ton frigates based on Italian Indian Naval Ship (INS)–10 design, and the acquisition of four hydrographic survey ships. Also to be built were an Indian-designed warship called Frigate 2001; six British Upholder-class submarines; an Indian-designed and Indian-built missile-firing nuclear submarine—the Advanced Technology Vessel—based on the Soviet Charlie II class; and an Indian-designed and Indian-built 17,000-ton air defense ship capable of carrying between twelve and fifteen aircraft. The air-defense ship will be, in effect, a replacement for India's two aging British aircraft carriers, the INS *Vikrant*, the keel of which was laid in 1943 but construction of which was not completed



*Aircraft on the flight deck of the INS Viraat  
Courtesy Embassy of India, Washington*

until 1961 and which was slated for decommissioning by 2000, and the INS *Viraat*, which entered service in 1987 and is likely to be decommissioned by 2005. The problems encountered with modernizing these and other foreign-source ships led India to decide against acquiring an ex-Soviet Kiev-class aircraft carrier in 1994.

In the spirit of international military cooperation, India has made moves in the early and mid-1990s to enhance joint-nation interoperability. Indian naval exercises have taken place with ships from the Russian navy and those of Indian Ocean littoral states and other nations, including the United States.

Naval headquarters is located in New Delhi. It is under the command of the chief of naval staff—a full admiral. The chief of naval staff has four principal staff officers: the vice chief of naval staff, the vice chief of personnel, the chief of material, and the deputy chief of naval staff. The total strength of the

navy in 1994 was 54,000, including 5,000 naval aviation personnel and 1,000 marines (one regiment, with a second reportedly forming).

Women were inducted into the navy for the first time in 1992, when twenty-two were trained as education, logistics, and law cadres. In 1993 additional women were recruited for air traffic control duties. By 1994 there were thirty-five women naval officers.

The navy is deployed under three area commands, each headed by a flag officer. The Western Naval Command is headquartered in Bombay on the Arabian Sea; the Southern Naval Command in Kochi (Cochin), in Kerala, also on the Arabian Sea; and the Eastern Naval Command in Vishakhapatnam, Andhra Pradesh, on the Bay of Bengal. Additionally, the navy has important bases in Calcutta and Goa.

The Southern Naval Command is responsible for naval officer training, which occurs at the Indian Naval Academy in Goa. Officer candidates are largely drawn from the National Defence Academy. After commissioning, officers are offered specialized training in antisubmarine warfare, aviation, communications, electronic warfare, engineering, hydrography, maritime warfare, missile warfare, navigation, and other naval specialties at various naval training institutions, many of which are collocated with the Training Command headquarters on Willingdon Island, near Kochi.

## **The Air Force**

The air force was established in 1932. In 1994 it had 110,000 personnel and 779 combat aircraft. The air force, which is headquartered in New Delhi, is headed by the chief of air staff, an air chief marshal. He is assisted by six principal staff officers: the vice chief of air staff, the deputy chief of air staff, the air officer in charge of administration, the air officer in charge of personnel, the air officer in charge of maintenance, and the inspector general of flight safety. The air force is deployed into five operational commands: the Western Air Command, headquartered at New Delhi; the Southwestern Air Command, headquartered at Jodhpur, Rajasthan; the Eastern Air Command, headquartered at Shillong, Meghalaya; the Central Air Command, headquartered at Allahabad, Uttar Pradesh; and the Southern Air Command, headquartered at Thiruvananthapuram (Trivandrum), Kerala. Additionally, there are two functional commands: the Training Command at Bangalore,



Karnataka, and the Maintenance Command at Nagpur, Maharashtra.

As of 1994, the air force was equipped with twenty-two squadrons of ground attack fighters. Five of these squadrons had a total of eighty-nine British Jaguar aircraft. Another five squadrons had 120 Soviet-origin MiG-27 aircraft. The air force also fielded twenty fighter squadrons, two of which were equipped with a total of thirty-five French-built Mirage 2000 H/TH aircraft. There were also twelve squadrons of transport aircraft in the inventory (see table 37, Appendix). Because of the large number of Soviet-origin aircraft, the air force is dependent on Russia for spare parts and equipment and weapons upgrades. In March 1995, Russia agreed to upgrade India's MiG-21 aircraft.

Aside from the Training Command at Bangalore, the center for primary flight training is located at the Air Force Academy at Hyderabad, Andhra Pradesh, followed by operational training at various air force schools. Advanced training is also conducted at the Defence Services Staff College; specialized advanced flight training schools are located at Bidar, Karnataka, and Hakimpet, Andhra Pradesh (also the location for helicopter training). Technical schools are found at a number of other locations.

In 1991 the government approved the induction of women into nontechnical air force officer billets, such as administration, logistics, accounting, education, and meteorology. In 1992 opportunities for "pioneer women officers" were opened in the areas of transportation, helicopters, and navigation, and the first group of thirteen women cadets entered the Air Force Academy. During their flight training, they qualified on HPT-32 and Kiran aircraft to earn their air force commissions. After completing ten months' training, five of the seven successful course graduates received further training on various transport aircraft. By 1994, there were fifty-five women officers in the air force.

## **Recruitment and Training**

Under the Indian constitution, as amended in 1977, each citizen has a fundamental duty to "defend the country and render national service when called upon to do so" (see The Constitutional Framework, ch. 8). However, the three services have always been all-volunteer forces, and general conscription has never proved necessary. Military service has long been deemed

an attractive option for many in a society where employment opportunities are scarce. The technical branches of the armed forces, however, have experienced problems with recruitment. Since the 1980s, as a result of the growth and diversification of India's industrial base, employment opportunities for individuals with technical training have expanded substantially. Consequently, fewer trained individuals have sought employment opportunities in the armed services.

The army and navy maintain a combined recruitment organization that operates sixty offices in key cities and towns nationwide. The air force has a separate recruiting organization with twelve offices. Army and navy recruitment officers tour rural districts adjacent to their stations and also draw from nearby urban areas. The air force and the navy draw a disproportionate number of their recruits from the urban areas, where educational opportunities are adequate to generate applicants capable of mastering technical skills. The army also recruits outside India, admitting ethnic Gurkhas (also seen as Gorkhas) from Nepal into a Gurkha regiment.

Initial enlistments vary in length, depending on the service and the branch or skill category, but fifteen years is considered the minimum. The tour of duty is generally followed by two to five years of service in a reserve unit. Reenlistment is permitted for those who are qualified, particularly those possessing necessary skills. The minimum age for enlistment is seventeen years; the maximum varies between twenty and twenty-seven, depending on the service and skill category. The compulsory retirement age for officers also varies, ranging from forty-eight for army majors, navy lieutenant commanders, and air force squadron leaders and below, to sixty for army generals, navy admirals, and air force air chief marshals. On occasion a two-year extension is granted on the grounds of exceptional organizational needs or personal ability.

Candidates have to meet minimum physical standards, which differ among the three services and accommodate the various physical traits of particular ethnic groups. Since 1977 recruiting officers have relaxed physical standards slightly when evaluating the only sons of serving or former military personnel—both as a welfare measure and as a means of maintaining a family tradition of military service.

Educational standards for enlisted ranks differ according to service and skill category; the army requirement varies from basic literacy to higher secondary education (see Primary and



*Women naval officers  
Courtesy Embassy of India, Washington*

Secondary Education, ch. 2). The other two services require higher educational levels, reflecting their greater need for technical expertise. The air force requires at least a higher secondary education, and the navy insists on graduation from a secondary school for all except cooks and stewards. Officer candidates have to complete a higher secondary education and pass a competitive qualifying exam for entry into precommission training. All services also accept candidates holding university degrees in such fields as engineering, physics, or medicine for direct entry into the officer corps.

Enlistment was legally opened to all Indians following independence in 1947. In 1949 the government abolished recruitment on an ethnic, linguistic, caste, or religious basis. Exceptions were army infantry regiments raised before World War II, where cohesion and effectiveness were thought to be rooted in long-term attachment to traditions. Some army regi-

ments have a homogeneous composition; other regiments segregate groups only at battalion or company levels. Others are completely mixed throughout. In general, the army has steadily evolved into a more heterogeneous service since 1947. Regiments raised during and after World War II have recruited Indians of almost all categories, and the doubling of the army's size after the 1962 border war with China sped up the process. The armed forces have made a concerted effort to recruit among underrepresented segments of the population and, during the late 1970s and the early 1980s, reformed the recruiting process to eliminate some of the subjectivity in the candidate selection process. Since 1989 the government has sought to apportion recruitment from each state and union territory according to its share of the population. Both the air force and the navy are now almost completely "mixed" services and display considerable heterogeneity in their composition.

### **Conditions of Service**

Pay and allowances for armed forces personnel compare favorably with civilian employment. Monthly salaries vary according to the service, although personnel usually earn similar pay for equivalent duties. Additionally, there is an extensive and complex system of special allowances that depend on conditions and kind of service. Free food for personnel in both field and garrison areas was extended after 1983 to all personnel up to the rank of colonel. All personnel are entitled to annual leaves of varying lengths, and, other than for a few exceptions, the services bear transportation costs for personnel and their families. Commissioned officers and other designated ranks contribute to the Armed Forces Provident Fund, a form of life insurance.

Personnel retiring after twenty years of service as an officer or fifteen years of enlisted service receive pensions based on the rank held at retirement. Retirees without the minimum service requirement receive special one-time bonuses. Additional remuneration accrues to those disabled in the line of service or—in the event of the death of active-duty personnel—to their surviving dependents.

The Soldiers', Sailors', and Airmen's Board, chaired by the minister of defence, is one of the most important organizations dealing with the welfare of active-duty personnel and their dependents. The board works closely with the Directorate of Resettlement in the Ministry of Defence to assist former service



personnel and their dependents to find employment on their return to civilian life. The directorate also operates cooperative industrial and agricultural estates and training programs to prepare former service personnel for employment in new fields. Both central and state-level governments reserve a percentage of vacancies in the public sector for former military personnel.

### **Uniforms, Ranks, and Insignia**

Indian military uniforms resemble those in the corresponding British services: olive drab for the army, dark blue for the navy, and sky blue for the air force. More uniform variations exist in the army than in the other services, with certain army regiments preserving traditional accoutrements. Sikhs may wear turbans instead of standard military headgear, for example (see Sikhism, ch. 3).

The rank structure in the three services, especially in the commissioned officer ranks, for the most part follows conventional British practice. The army, however, has the category of junior commissioned officer, for which there is no precise equivalent in the United States or British services. Junior commissioned officers are promoted on a point system from within the enlisted ranks of their regiments, filling most of the junior command slots, such as platoon leaders. The senior junior commissioned officer usually acts as the principal assistant to the commanding officer.

Rank insignia closely follow the British system. Combinations of stars, Lion of Sarnath (the national emblem) badges, crossed sabers, and crossed batons in a wreath show respective army ranks from junior commissioned officer up through field marshal. The latter rank has been granted to only two distinguished Indian officers: K.M. Cariappa, a highly decorated veteran of the 1947–48 war with Pakistan, and S.H.F.J. "Sam" Manekshaw, the strategist of the 1971 war with Pakistan. Arm chevrons worn with the point down indicate enlisted ranks. Naval insignia follow the convention of sleeve stripes for officers and fouled anchor badges for enlisted personnel. The air force uses broad and narrow sleeve stripe combinations for officer ranks and combinations of chevrons, Lion of Sarnath badges, and wing symbols for enlisted ranks (see fig. 18; fig. 19).

INDIAN RANK	2D LIEUTENANT	LIEUTENANT	CAPTAIN	MAJOR	LIEUTENANT COLONEL	COLONEL	BRIGADIER	MAJOR GENERAL	LIEUTENANT GENERAL	GENERAL	FIELD MARSHAL
ARMY											
U.S. RANK TITLE	2D LIEUTENANT	1ST LIEUTENANT	CAPTAIN	MAJOR	LIEUTENANT COLONEL	COLONEL	BRIGADIER GENERAL	MAJOR GENERAL	LIEUTENANT GENERAL	GENERAL	GENERAL OF THE ARMY
INDIAN RANK	PILOT OFFICER	FLYING OFFICER	FLIGHT LIEUTENANT	SQUADRON LEADER	WING COMMANDER	GROUP CAPTAIN	AIR COMMODORE	AIR VICE MARSHAL	AIR MARSHAL	AIR CHIEF MARSHAL	MARSHAL OF THE AIR FORCE
AIR FORCE											
U.S. RANK TITLE	2D LIEUTENANT	1ST LIEUTENANT	CAPTAIN	MAJOR	LIEUTENANT COLONEL	COLONEL	BRIGADIER GENERAL	MAJOR GENERAL	LIEUTENANT GENERAL	GENERAL	GENERAL OF THE AIR FORCE
INDIAN RANK	ACTING SUB-LIEUTENANT	SUB-LIEUTENANT	LIEUTENANT	LIEUTENANT COMMANDER	COMMANDER	CAPTAIN	COMMODORE	REAR ADMIRAL	VICE ADMIRAL	ADMIRAL	ADMIRAL OF THE FLEET
NAVY											
U.S. RANK TITLE	ENSIGN	LIEUTENANT JUNIOR GRADE	LIEUTENANT	LIEUTENANT COMMANDER	COMMANDER	CAPTAIN	REAR ADMIRAL LOWER HALF	REAR ADMIRAL UPPER HALF	VICE ADMIRAL	ADMIRAL	FLEET ADMIRAL

Figure 18. Officer Ranks and Insignia, 1995

INDIAN RANK	JAWAN	SEPOY	LANCE NAIK	NAIK	NO RANK	HAVILDAR	COMPANY QUARTERMASTER HAVILDAR	COMPANY HAVILDAR MAJOR	BATTALION QUARTERMASTER HAVILDAR	BATTALION HAVILDAR MAJOR	NAIB SUBEDAR <sup>1</sup>	SUBEDAR RISALDAR <sup>1</sup>	SUBEDAR RISALDAR <sup>1</sup>	MAJOR <sup>1</sup>
ARMY	NO INSIGNIA	NO INSIGNIA												
U.S. RANK TITLE	BASIC PRIVATE	PRIVATE	PRIVATE 1ST CLASS	CORPORAL/ SPECIALIST	SERGEANT	STAFF SERGEANT	SERGEANT 1ST CLASS	MASTER SERGEANT/ FIRST SERGEANT	SERGEANT MAJOR / COMMAND SERGEANT MAJOR					
INDIAN RANK	AIRCRAFTMAN	LEADING AIRCRAFTMAN	NO RANK	CORPORAL	NO RANK	SERGEANT	JUNIOR WARRANT OFFICER	WARRANT OFFICER	MASTER WARRANT OFFICER		NO RANK	NO RANK	NO RANK	NO RANK
AIR FORCE	NO INSIGNIA													
U.S. RANK TITLE	AIRMAN BASIC	AIRMAN	AIRMAN 1ST CLASS	SENIOR AIRMAN/ SERGEANT	STAFF SERGEANT	TECHNICAL SERGEANT	MASTER SERGEANT	SENIOR MASTER SERGEANT	CHIEF MASTER SERGEANT					
INDIAN RANK	SEAMAN	NO RANK	ABLE SEAMAN	LEADING SEAMAN	NO RANK	PETTY OFFICER	CHIEF PETTY OFFICER	MASTER CHIEF PETTY OFFICER (II)	MASTER CHIEF PETTY OFFICER (I)		NO RANK	NO RANK	NO RANK	NO RANK
NAVY	NO INSIGNIA		NO INSIGNIA											
U.S. RANK TITLE	SEAMAN RECRUIT	SEAMAN APPRENTICE	SEAMAN	PETTY OFFICER 3D CLASS	PETTY OFFICER 2D CLASS	PETTY OFFICER 1ST CLASS	CHIEF PETTY OFFICER	SENIOR CHIEF PETTY OFFICER	MASTER CHIEF PETTY OFFICER					

<sup>1</sup> Junior commissioned officer; no United States equivalent.<sup>2</sup> Worn on shoulder.

Figure 19. Enlisted and Junior Noncommissioned Officer Ranks and Insignia, 1995

## Paramilitary and Reserve Forces

### *Paramilitary Forces*

In addition to the regular armed forces, India also has paramilitary forces. These forces have grown dramatically since independence. There are twelve paramilitary organizations, which have an authorized strength of around 1.3 million personnel. In 1994, their reported actual strength was 692,500. These organizations include the Coast Guard Organisation and the Defence Security Force, which are subordinate to the Ministry of Defence. Paramilitary forces subordinate to the Ministry of Home Affairs include the Assam Rifles, the Border Security Force, the Central Industrial Security Force, the Central Reserve Police Force, the Indo-Tibetan Border Police, and the Rashtriya Rifles (National Rifles). The National Security Guards, a joint antiterrorist contingency force, are charged with protection of high-level persons (the so-called very very important persons—VVIPs) and are subordinate to the Office of the Prime Minister (also sometimes known as the Prime Minister's Secretariat.) The guards are composed of elements of the armed forces, the Central Reserve Police Force, and the Border Security Force. The Special Frontier Force also is subordinate to the Office of the Prime Minister. The Railway Protection Force is subordinate to the Ministry of Railways. At the local level, there is the Provincial Armed Constabulary, which is controlled by the governments of the states and territories (see *State and Other Police Services*, this ch.).

During the 1960s, 1970s, and 1980s, local police forces could not deal with the mounting array of sectarian, ethnic, and regional conflicts, and paramilitary forces were increasingly called on for assistance. In addition to security and guard duties, paramilitary organizations assist local and state-level police forces in maintaining public order and shield the army from excessive use in "aid-to-the-civil-power" operations. These operations essentially involve quelling public disorder when local police forces prove inadequate to the task.

The Coast Guard Organisation was constituted as an Armed Force of the Union in 1978 under the administrative control of the Ministry of Defence (although it is funded by the Ministry of Home Affairs), following its 1977 establishment as a temporary navy element. Its principal mission is to protect the country's maritime assets, particularly India's 200-nautical-mile exclusive economic zone and the marine resources contained



in the area, which comprises nearly 2.8 million square kilometers. The coast guard is also responsible for the prevention of poaching and smuggling, the control of marine pollution, and carrying out search-and-rescue missions. Under the command of a director general, the coast guard is organized into three national maritime zones: the Western Maritime Zone, headquartered at Bombay; the Eastern Maritime Zone, headquartered at Madras; and the Andaman and Nicobar Maritime Zone, headquartered at Port Blair. The zones are further subdivided into district headquarters, one each for the eight maritime states on the mainland and two in the Andaman and Nicobar Islands. In times of emergency, the coast guard is expected to work with the navy. In the late 1980s, coast guard units from the eastern zone supported Indian peacekeeping efforts in Sri Lanka. The coast guard's equipment includes about fifty ships, nine helicopters, and thirteen fixed-wing aircraft (see table 38, Appendix).

Another Ministry of Defence paramilitary organization has a security mission. The Defence Security Force guards Ministry of Defence facilities throughout India.

The Border Security Force was established in the closing days of the 1965 Indo-Pakistani conflict. Its principal mission involves guarding the Indo-Pakistani line of actual control in Jammu and Kashmir as well as borders with Bangladesh and Burma. It works in internal security and counterinsurgency operations in Assam, Jammu and Kashmir, and Punjab. The border force has also been used to deal with communal rioting.

Another Ministry of Homes Affairs paramilitary force deployed in Jammu and Kashmir is the Rashtriya Rifles. In 1994 it had 5,000 troops, all of whom served in Jammu and Kashmir. Some observers expected the force to grow to thirty battalions, with around 25,000 personnel. In March 1995, Indian television referred to the Delta Force of the "fledgling" Rashtriya Rifles. It was reported that the force was operating against "terrorists" and "foreign mercenaries" in Doda District in south-central Jammu and Kashmir.

Founded in 1939, the Central Reserve Police Force is the country's oldest paramilitary organization. It maintains internal order when local and state-level forces prove inadequate to the task. The Central Reserve Police Force in Assam, Jammu and Kashmir, and Punjab has worked in counterinsurgency operations. This force also was dispatched to Sri Lanka during India's 1987-90 involvement there. The Ministry of Defence's

weekly armed forces magazine, *Sainik Samachar*, reported that the Mahila Battalion (Women's Battalion) of the Central Reserve Police Force had "proved its mettle in hot warlike conditions in Sri Lanka," and had established women as "a force to reckon with" in the paramilitary.

Another significant paramilitary organization is the Indo-Tibetan Border Police, established in 1962 in the aftermath of the war with China. It is primarily responsible for the security of the border with China.

The Special Frontier Force, established in 1962 in the aftermath of the war with China, is less well publicized by the government. Apparently it is an elite, parachute-qualified commando unit, nominally subordinate to the army and deployed along sensitive areas of the border with China, and recruited partially from among border-area hill tribes and Tibetan refugees. The Special Frontier Force also appears to have a domestic security role; members of the force were involved in the Golden Temple siege in 1984. In 1994 its reported strength was 3,000, making it one of the smallest paramilitary forces.

### ***Reserve Forces***

India's "second line of defense" is composed of several citizen mass organizations. These include the Territorial Army, a voluntary, part-time civilian force that receives military training and serves as a reserve force for the army "to relieve [it] of static duties, to aid the civil power, and to provide units for the regular Army, if and when required." It was raised in 1949 and has been used in times of war and domestic disturbances. Organizationally, Territorial Army personnel are raised from among employees of government agencies and public-sector enterprises and are formed into departmental units. Nondepartmental units are raised from other citizens, including former active-duty military personnel. In the early 1990s, Territorial Army units saw service in Jammu and Kashmir and along the northern and western borders of India and in support of paramilitary units subordinate to the Ministry of Home Affairs.

The National Cadet Corps, which is open to young men and women, was established in 1948 to develop discipline and leadership qualities useful in life and particularly for potential service in the armed forces. The semiautonomous organization receives guidance from the ministries of education and defence at the central level and from state-level governments at

the local level. It is organized into army, navy, and air force wings, and its ranks correspond to those in the respective armed forces.

Civil Defence Volunteers are under the leadership of a small paid cadre, who are trained to provide early warning communications at the town level. They also participate in civil works construction projects and natural disaster relief work. Subordination is through the local state or territory government and the Ministry of Home Affairs.

The Home Guards are a voluntary force raised by state and territory governments under the guidance of the Ministry of Home Affairs. Home Guards undergo minimal training and receive pay only when called for duty. They assist the police in crime prevention and detection; undertake watch and patrol duties; and aid in disaster relief, crowd control, and the supervision of elections. The central government reimburses the states and territories at varying rates for expenses incurred in the performance of Home Guard duties.

### **Space and Nuclear Programs**

India detonated its first and only nuclear device at Pokharan in the Rajasthan Desert in May 1974. Subsequently and in all likelihood as a consequence of international pressure, India has chosen not to conduct any further tests. At a formal level, Indian officials and strategists deny that India possesses nuclear weapons and refer to India's position as an "options strategy," which essentially means maintaining the nuclear weapons option and exercising it should regional and international conditions so warrant. In pursuit of this end, India refuses to sign the 1968 Treaty on the Non-Proliferation of Nuclear Weapons. Formally, Indian officials argue that India's refusal to sign the treaty stems from its fundamentally discriminatory character; the treaty places restrictions on the nonnuclear weapons states but does little to curb the modernization and expansion of the nuclear arsenals of the nuclear weapons states.

The Indian ballistic missile program has some elements in common with the nuclear program. Under the aegis of the Integrated Guided Missile Development Programme, India is developing rockets of varying ranges: the Agni, the Prithvi, the Akash, the Trishul, and the Nag. The Agni, which former Prime Minister Rajiv Gandhi referred to as a "technology demonstrator," was first test fired in May 1989 and again in May 1992. In 1995 it was not yet operational, but it has interconti-

mental ballistic missile potential. The Prithvi—which some sources reported had an operational unit raised in 1995 and deployed along the Pakistani border—is a tactical, short-range surface-to-surface missile designed by the DRDO as part of India's antimissile defense system. Based on the Soviet Scud missile, its 250-kilogram payload can be launched from a mobile launcher. The Trishul is a sea-skimming short-range missile. The Akash is a multitarget surface-to-air missile that was being test fired in 1994 and 1995. The Nag is essentially an antitank missile.

The Indian missile program has been of concern to the United States, which, under the terms of the Missile Technology Control Regime, imposed sanctions against the Indian Space Research Organisation (ISRO) in June 1992. In July 1993, the United States prevailed upon the Russian space agency, Glavkosmos, not to transfer cryogenic rocket engines to India (see Russia; United States, ch. 9). The ISRO decided it would develop the engine on its own by 1997 while continuing to seek purchase of modified versions of the engines from Russia. Seven such cryogenic engines were scheduled for delivery by Glavkosmos between 1996 and 1999. In keeping with its agreement with the United States, Glavkosmos was not going to transfer additional technology for cryogenic engines. However, cryogenic engine technology transfer had begun in 1991, and hence leading ISRO officials were confident about their 1997 projection.

### **Intelligence Services**

The first post-independence military intelligence service was the Intelligence Bureau established in 1947 under the aegis of the Ministry of Home Affairs. Until 1962 the Intelligence Bureau had wide-ranging responsibilities for the collection, collation, and assessment of both domestic and foreign intelligence. The failure of the Intelligence Bureau to assess adequately the nature of the Chinese threat, however, led to a reevaluation of its role and functions in the early 1960s. Military Intelligence, which in the words of one retired Indian general was "little more than a post office," was reactivated and given the task of reporting to the revamped Joint Intelligence Committee. The Joint Intelligence Committee is the key body coordinating and assessing intelligence brought to it by the Intelligence Bureau, Military Intelligence, and the Research and Analysis Wing of the Office of the Prime Minister. Estab-





*Navy missile destroyer, INS Rana  
Sailors in a ship's operations room  
Courtesy Embassy of India, Washington*

lished in 1968, the Research and Analysis Wing is primarily responsible for gathering external intelligence. Despite a substantial budget and extensive foreign postings, the wing's efforts to gather intelligence even in South Asia are inadequate according to some foreign analysts (see *Role of the Prime Minister*, ch. 9).

Each of the armed services has a directorate charged with the collection and dissemination of intelligence. Critics have charged that there is inadequate cooperation and coordination among the service intelligence directorates, the Intelligence Bureau, and the Research and Analysis Wing. There is, however, an interservice Joint Cipher Bureau, which is in charge of cryptology and signals intelligence. The Research and Analysis Wing includes officers from the armed services and also has a chief military intelligence adviser.

## **Military Justice**

The Manual of Military Law and Regulations spells out rules and procedures for the investigation, prosecution, and punishment of military offenses and crimes in the armed forces. Basic authority rests in the constitution, the Army Act of 1954, the Air Force Act of 1950, and the Navy Act of 1957.

The army and air force have three kinds of courts. They are, in descending order of power, the General Court, which conducts general courts-martial; the District Court; and the Summary General Court. Additionally, the army has a fourth kind of court, the Summary Court. Local commanding officers conduct this court with powers similar to nonjudicial punishment in the United States armed forces. The navy uses general courts-martial in addition to the nonjudicial powers established for commanders in the Navy Act.

Courts-martial can be convened by the prime minister, minister of defence, chief of staff of the service concerned, or other officers so designated by the ministry or the chief of staff. There are channels of appeal and stages of judicial review, although procedures differ among the three services.

Members of the armed forces remain subject concurrently to both civilian and military law, and criminal courts with appropriate jurisdictions assume priority over military courts in specific cases. With the approval of the government, a person convicted or acquitted by a court-martial can undergo retrial by a criminal court for the same offense and on the same evi-

dence. Once tried by a civilian court, however, one cannot be tried by a military court for the same offense.

Each of the three services has its own judge advocate general's department, relatively free and independent of the other branches in the discharge of its judicial functions. The various departments have officers among the adjutant general's staff at army headquarters, in the chief of personnel's staff at navy headquarters, and in the administration staff of the air force headquarters.

## **Public Order and Internal Security**

### **Military Role Expansion**

The army has four major roles or functions in the maintenance of public order and internal security. One is to defend India's territorial integrity and to maintain the inviolability of its borders. Another involves dealing with internal security threats stemming from secessionist demands and externally supported insurgencies. The army also is called upon to assist civilian authorities in maintaining civil order when local police forces and the paramilitary prove inadequate to the task. Finally, the army can also be mobilized to deal with natural disasters such as earthquakes and floods, the only domestic function that the army performs with enthusiasm.

Despite the existence of numerous paramilitary forces, the army has had to quell outbreaks of civil violence, primarily in the states of Assam, Jammu and Kashmir, and Punjab (see Paramilitary Forces and Reserve Forces, this ch.). By the early 1990s, army involvement in Assam and Punjab had diminished significantly as insurgencies waned. However, the role of the army in Jammu and Kashmir expanded substantially as both police and paramilitary forces failed to maintain law and order.

In 1993 upper-echelon army officers warned that excessive use of the army to restore civil order might have a number of corrosive effects. First, it might damage the morale of troops who might be distressed at having to shoot civilians. Second, it might have the effect of politicizing the army. The outgoing chief of army staff, General Sunith Francis Rodrigues, publicly articulated his misgivings on this subject. Furthermore, in June 1993, Rodrigues presented a report entitled "Maximizing Effectiveness of Central Police Organizations" to the Committee of Secretaries (composed of a "core group", the secretaries of defence, finance, and home affair, chaired by the cabinet secre-



tary, and meeting on a weekly basis). The report called for the army to take over the training of paramilitary forces.

### **Civil Liberties, Human Rights, and the Armed Forces**

In response to a range of insurgencies since the early 1980s, the central government has enacted an extensive array of legislation that places substantial curbs on civil liberties. The National Security Act of 1980, the National Security Amendment Act of 1984, the Terrorist and Disruptive Activities (Prevention) Act of 1985 (which was renewed in 1987 and suspended in 1995), and the Armed Forces (Jammu and Kashmir) Special Powers Act of 1990 are the most significant laws in force. The ramifications of these four laws are sweeping. Under their aegis, the central government has the right of preventive detention, may seek in-camera trials, may send accused individuals before designated courts, and may destroy property belonging to suspected terrorists. Furthermore, under the terms of the Armed Forces (Jammu and Kashmir) Special Powers Act, members of the armed forces cannot be prosecuted for actions committed in good faith in pursuance of the provisions of this law.

During the 1980s and 1990s, both international and domestic human rights groups asserted that human rights violations are rampant. The principal international organizations making these allegations are the International Commission of Jurists, Amnesty International, and Asia Watch. Two Indian counterparts are the People's Union for Civil Liberties and the People's United Democratic Front. Indian and foreign press reports have alleged that local police and paramilitary forces have engaged in rape, torture, and beatings of suspects in police custody. Numerous "militants" reportedly have simply disappeared in Jammu and Kashmir. On other occasions, especially in Punjab, security forces on various occasions allegedly captured insurgents and then shot them in staged "encounters" or "escapes." The government has either vigorously challenged these allegations or asserted that condign punishment had been meted out against offenders. The government has made efforts to blunt the barrage of domestic and foreign criticism. One such effort was the establishment of the five-member National Human Rights Commission in 1993 composed of senior retired judges. A report released by the commission in November 1993 cited eighty Bombay police officials for "atrocities, ill treatment, collusion, and connivance" and for "being



openly on the side of the Hindu aggressors" during the December 1992 Hindu-Muslim riots. The commission's mandate does not extend to violations in Jammu and Kashmir and northeast India, and it must rely on state investigative agencies for its field work.

## **Insurgent Movements and External Subversion**

### ***Kashmir***

In the mid-1990s, India was grappling with three separate insurgencies of varying strengths in the states of Assam, Jammu and Kashmir, and Punjab. The insurgency in Jammu and Kashmir has the most serious implications for India. The long-term roots of the Kashmir problem can be traced to the partition of India (see *National Integration*, ch. 1). The crisis centers on a militant secessionist demand that the Indian state has harshly suppressed. Its proximate causes are located in the central government's attempts to manipulate state-level politics for short-term political ends. Since 1989, approximately 10,000 civilians have died at the hands of security forces or militants. Although the origins of the crisis are quintessentially indigenous, there is widespread agreement among both Indian and foreign observers that the Inter-Services Intelligence Agency of Pakistan has actively aided and abetted some of the insurgent groups, most notably, the radical Islamic Hezb-ul-Mujahideen.

The counterinsurgency strategy that the Indian government has adopted in Jammu and Kashmir was developed in the context of dealing with guerrilla movements in India's northeast in the late 1970s. This strategy involves denying the guerrillas any sanctuaries, sealing the porous Indo-Pakistani border, and using both army and paramilitary forces to conduct house-to-house "cordon-and-search" operations. Whether this strategy will lead eventually to the collapse of the insurgency in Jammu and Kashmir remains an open question; violence has continued to accelerate since 1993, with mounting casualties on both sides and the destruction of an ancient mosque and shrine in 1995 (see *Political Issues*, ch. 8; *South Asia*, ch. 9).

### ***Punjab***

The insurgency in the state of Punjab originated in the late 1970s. The roots of this insurgency are complex. The Green Revolution, a package of agricultural inputs, transformed the socioeconomic landscape of Punjab (see *The Green Revolu-*

tion, ch. 7). Amidst this new-found prosperity, large numbers of Sikhs started to shed some of the trappings of their faith. This propensity rekindled an age-old fear in the Sikh community—that of being absorbed into the Hindu fold. In turn, many Punjabi Sikhs, who were dispossessed of their land as a consequence of agricultural transformation, found solace in various revivalistic practices. One of the leaders of this revivalistic movement was Sant Jarnail Singh Bhindranwale, a politically ambitious itinerant Sikh preacher. The second factor contributing to the insurgency was the attempt by Indira Gandhi (India's prime minister, 1966–77 and 1980–84), the Congress, and from 1978 Congress (I) to use Bhindranwale to undermine the position of the Akali Dal (Eternal Party), a regional party (see Political Parties, ch. 8). Bhindranwale and his followers were encouraged to verbally intimidate Akali Dal politicians. Although this strategy met with some success, Bhindranwale and his followers became a source of mayhem and disruption in Punjab. Eventually, in June 1984, Gandhi had to order units of the Indian army to flush out Bhindranwale and his followers, who had taken refuge in the Golden Temple complex, Sikhism's most holy shrine, in Amritsar, Punjab (see Sikhism, ch. 3).

This exercise, Operation Bluestar, was, at best, a mixed success. After all efforts at negotiation failed, Indira Gandhi ordered the army to storm the temple. A variety of army units, along with substantial numbers of paramilitary forces, surrounded the temple complex on June 3, 1984. After the demands to surrender peacefully were met with volleys of gunfire from within the confines of the temple, the army was given the order to take the temple by force. Indian intelligence authorities had underestimated the firepower possessed by the militants, however, and the army brought in tanks and heavy artillery to suppress the antitank and machine-gun fire. After a twenty-four-hour firefight, the army successfully took control of the temple. According to Indian government sources, eighty-three army personnel were killed and 249 injured. Insurgent casualties were 493 killed and eighty-six injured. Indian observers assert that the number of Sikh casualties was probably higher.

The attack on the Golden Temple had the effect of inflaming significant segments of the Sikh community. It is widely believed that the two Sikh bodyguards who assassinated Indira Gandhi on October 31, 1984, were driven by their anger over



*An Indian Air Force crew prepares for takeoff.  
 Courtesy Indian Ministry of External Affairs  
 A Sea Harrier jet, from the Indian Navy's White Tigers Squadron,  
 on patrol  
 Courtesy Embassy of India, Washington*



the Golden Temple episode. In the wake of Indira Gandhi's assassination, mobs rampaged through the streets of New Delhi and other parts of India over the next few days, killing several thousand Sikhs. The New Delhi police proved to be partisan observers and did little to stop or apprehend the rioters. Only after the deployment of the army, almost three days after the onset of the riots, was order fully restored.

The New Delhi riots had repercussions in Punjab as militants stepped up their activities. Gandhi's son and political successor, Rajiv Gandhi, sought unsuccessfully to bring peace to Punjab with an accord signed with Harchand Singh Longowal, a moderate Sikh leader. Rajiv Gandhi's successors, belonging to the Janata factions, proved to be no more adept at resolving the crisis. In fact, between 1987 and 1991, Punjab was placed under President's Rule and governed directly from New Delhi (see *The Executive*, ch. 8). Eventually, an election was held in the state in February 1992. Voter turnout, however, was poor; only about 24 percent of the population participated in the elections. Despite its narrow mandate, the newly elected Congress (I) government gave a free hand to the police chief of the state, K.P.S. Gill. His ruthless methods significantly weakened the insurgent movement. Most political observers, however, assert that long-term political stability in Punjab depends on addressing the underlying grievances of segments of the Sikh community.

### *Assam and the Northeast*

The origins of the insurgency in Assam are quite different from those in Kashmir and Punjab. The principal grievance of the radical student movement, the United Liberation Front of Assam, is nativist. Front members are violently opposed to the presence of Bengalis from the neighboring state of West Bengal and waves of illegal immigrants from Bangladesh. Various rounds of negotiations between the United Liberation Front of Assam and two successive central governments resulted in the Assam Accord of August 15, 1985. Under the provisions of this accord, persons who entered the state illegally between January 1966 and March 1971 were allowed to remain but were disenfranchised for ten years, while those who entered after 1971 faced expulsion. A November 1985 amendment to the Indian citizenship law allows noncitizens who entered Assam between 1961 and 1971 to have all the rights of citizenship except the right to vote for a period of ten years.



In 1993 an accord was reached between the Bodo tribe and the central and state governments. The accord established the Bodoland Autonomous Council, which gave the Bodos limited political and administrative autonomy. Nevertheless, violence broke out in 1994: members of the Bodo Security Force, in the wake of demands for a "liberated Bodoland" burned several villages and killed around 100 immigrant villagers. Both local counterinsurgency forces and army units were sent in to engage the Bodo militants.

A number of other insurgencies in the northeast have required extensive use of army and paramilitary forces. Four states in particular have witnessed various insurgent and guerilla movements. The first and perhaps the most significant insurgency originated in Nagaland in the early 1950s; it was eventually quelled in the early 1980s through a mixture of repression and cooptation. In 1993 Nagaland experienced recrudescence violence as two ethnic groups, the Nagas and the Kukis, engaged in brutal conflict with each other. Adding to India's internal unrest in this region were the links established between the Bodo insurgents in Assam and the National Socialist Council of Nagaland, which, in turn, had links to other active insurgent groups and, reportedly, operatives in Thailand.

In neighboring Manipur, militants organized under the aegis of the People's Liberation Army long fought to unite the Meitei tribes of Burma and Manipur into an independent state. This insurgent movement had been largely suppressed by the mid-1990s.

In Mizoram the Mizo National Front fought a running battle with the Indian security forces throughout the 1960s. As in Nagaland, this insurgency was suppressed in the early 1980s through a mixture of political concessions and harsh military tactics.

In the state of Tripura, tribal peoples organized under the leadership of the Tripura National Front were also responsible for terrorist activity. This movement has, for the most part, also been brought under control by the government.

The central government's success in quelling these insurgencies was not without human and material costs. Although no assessments of these costs exist in the public domain, it is widely believed that the paramilitary forces and the army were given a free hand in suppressing the uprisings. A prominent Indian human rights activist and attorney, Nandita Haksar, has

alleged that harsh methods were routinely used, including collective punishment of villagers accused of harboring terrorists in remote areas. Because of the continued level of insurgency by Assamese and other groups, which had bases in neighboring Burma, India and Burma started joint counterinsurgency operations against the rebels in May 1995, the first such operations since the 1980s.

## **Law Enforcement**

### **National-Level Agencies**

The constitution assigns responsibility for maintaining law and order to the states and territories, and almost all routine policing—including apprehension of criminals—is carried out by state-level police forces. The constitution also permits the central government to participate in police operations and organization by authorizing the maintenance of the Indian Police Service. Police officers are recruited by the Union Public Service Commission through a competitive nationwide examination. On completion of a nationwide basic public-service course, police officer candidates attend the National Police Academy at Hyderabad, Andhra Pradesh. They are then assigned to particular state or union territory forces, where they usually remain for the rest of their careers. About 50 percent of the officers are regularly assigned to states or territories other than their own in an effort to promote national integration.

The constitution also authorizes the central government to maintain whatever forces are necessary to safeguard national security. Under the terms of the constitution, paramilitary forces can be legally detailed to assist the states but only if so requested by the state governments. In practice, the central government has largely observed these limits. In isolated instances, the central government has deployed its paramilitary units to protect central government institutions over the protest of a state government. During the Emergency of 1975–77, the constitution was amended (effective February 1, 1976) to permit the central government to dispatch and deploy its paramilitary forces without regard to the wishes of the states (see *The Rise of Indira Gandhi*, ch. 1). This action proved unpopular, and the use of the paramilitary forces was controversial. After the Emergency was lifted, the constitution was amended in December 1978 to make deployment of central government

paramilitary forces once again dependent on the consent of the state government. According to apologists for the central government, this amendment prevented the government from sending in paramilitary forces to protect the Babri Masjid (Babri Mosque) in Ayodhya, Uttar Pradesh, in December 1992 (see *Public Worship*, ch. 3).

The principal national-level organization concerned with law enforcement is the Ministry of Home Affairs, which supervises a large number of government functions and agencies operated and administered by the central government. The ministry is concerned with all matters pertaining to the maintenance of public peace and order, the staffing and administration of the public services, the delineation of internal boundaries, and the administration of union territories.

In addition to managing the Indian Police Service, the Ministry of Home Affairs maintains several agencies and organizations dealing with police and security. Police in the union territories are the responsibility of the Police Division, which also runs the National Police Academy and the Institute of Criminology and Forensic Science. The Central Bureau of Investigation investigates crimes that might involve public officials or have ramifications for several states. The ministry also is the parent organization of the Border Security Force.

### **State and Other Police Services**

The Police Act of 1861 established the fundamental principles of organization for police forces in India, and, with minor modifications, continues in effect. Consequently, although state-level police forces are separate and may differ in terms of the quality of equipment and resources, their patterns of organization and operation are markedly similar.

An inspector general, answerable to the home secretary of the state, heads each state, union territory, or national capital territory police force. Under the inspector general are a number of police "ranges" composed of three to six districts, headed by deputy inspectors general. District police headquarters are commanded by superintendents. District superintendents have wide discretionary powers and are responsible for overseeing subordinate police stations as well as specialty elements, such as criminal investigation detachments, equipment storehouses and armories, and traffic police. Many large districts also have several assistant district superintendents.



Most preventive police work is carried out by constables assigned to police stations. Depending on the number of stations there, a district may be subdivided and, in some states, further divided into police "circles" to facilitate the supervision from district headquarters. Most of the major metropolitan areas such as New Delhi, Bombay, Calcutta, and Madras have separate municipal forces headed by commissioners. Police in the states and union territories are assisted by units of volunteer Home Guards, maintained under guidelines formulated by the Ministry of Home Affairs.

In most states and territories, police forces are functionally divided into civil (unarmed) police and armed contingents. The former staff police stations, conduct investigations, answer routine complaints, perform traffic duties, and patrol the streets. They usually carry *lathis*—bamboo staffs weighted or tipped with iron.

Contingents of armed police are divided into two groups, the district armed police and the Provincial Armed Constabulary. The district armed police are organized along the lines of an army infantry battalion. They are assigned to police stations and perform guard and escort duties. Those states that maintain distinct armed contingents employ them as a reserve strike force for emergencies. Such units are organized either as a mobile armed force under direct state control or in the case of district armed police (who are not as well equipped) as a force directed by district superintendents and generally used for riot-control duty.

The Provincial Armed Constabulary (Pradeshik) is an armed reserve maintained at key locations in some states and active only on orders from the deputy inspector general and higher-level authorities. Armed constabulary are not usually in contact with the public until they are assigned to VIP duty or assigned to maintain order during fairs, festivals, athletic events, elections, and natural disasters. They may also be sent to quell outbreaks of student or labor unrest, organized crime, and communal riots; to maintain key guard posts; and to participate in antiterrorist operations. Depending on the type of assignment, the Provincial Armed Constabulary may carry only *lathis*.

At all levels, the senior police officers answer to the police chain of command and respond to the general direction and control of designated civilian officials. In the municipal force,



*Police officer directing traffic in  
Bangalore  
Courtesy Robert L. Worden*



the chain of command runs directly to the state home secretary rather than to the district superintendent or district officials.

Working conditions and pay are poor, especially in the lower echelons of the police forces. Recruits receive only around Rs1,900 per month (about US\$64). Opportunities for promotion are limited because of the system of horizontal entry into higher grades. Allegations of bribery, attributable to the low pay and poor working conditions, have been widespread.

Since the late 1980s, women have entered in larger numbers into the higher echelons of the Indian police, mostly through the Indian Police Service system. Women police officers were first used in 1972, and a number of women hold key positions in various state police organizations. However, their absolute numbers, regardless of rank, are small. Uniformed and undercover women police officers have been deployed in New Delhi as the Anti-Eve Teasing Squad, which combats sexual harassment against women ("Eves"). Several women-only police stations have also been established in Tamil Nadu to handle sex crimes against women.

Police uniforms vary widely according to grade, region, and kind of duty performed. Among the armed police, uniforms tend to resemble army dress rather than conventional police uniforms. The khaki uniforms of the Indian Police Service offi-

cers are similar in all states, but headgear varies widely, especially among metropolitan areas.

## **The Criminal Justice System**

The criminal justice system descends from the British model. The judiciary and the bar are independent although efforts have been made by some politicians to undermine the autonomy of the judiciary. From about the time of Indira Gandhi's tenure as prime minister, the executive has treated judicial authorities in an arbitrary fashion. Judges who handed down decisions that challenged the regime in office have on occasion been passed over for promotion, for example. Furthermore, unpopular judges have been given less-than-desirable assignments. Because the pay and perquisites of the judiciary have not kept up with salaries and benefits in the private sector, fewer able members of the legal profession have entered the ranks of the senior judiciary.

Despite the decline in the caliber and probity of the judiciary, established procedures for the protection of defendants, except in the case of strife-torn areas, are routinely observed. The penal philosophy embraces the ideals of preventing crime and rehabilitating criminals.

### **Criminal Law and Procedure**

Under the constitution, criminal jurisdiction belongs concurrently to the central government and the states. The prevailing law on crime prevention and punishment is embodied in two principal statutes: the Indian Penal Code and the Code of Criminal Procedure of 1973. These laws take precedence over any state legislation, and the states cannot alter or amend them. Separate legislation enacted by both the states and the central government also has established criminal liability for acts such as smuggling, illegal use of arms and ammunition, and corruption. All legislation, however, remains subordinate to the constitution.

The Indian Penal Code came into force in 1862; as amended, it continued in force in 1993. Based on British criminal law, the code defines basic crimes and punishments, applies to resident foreigners and citizens alike, and recognizes offenses committed abroad by Indian nationals.

The penal code classifies crimes under various categories: crimes against the state, the armed forces, public order, the

human body, and property; and crimes relating to elections, religion, marriage, and health, safety, decency, and morals. Crimes are cognizable or noncognizable, comparable to the distinction between felonies and misdemeanors in legal use in the United States. Six categories of punishment include fines, forfeiture of property, simple imprisonment, rigorous imprisonment with hard labor, life imprisonment, and death. An individual can be imprisoned for failure to pay fines, and up to three months' solitary confinement can occur during rare rigorous imprisonment sentences. Commutation is possible for death and life sentences. Executions are by hanging and are rare—there were only three in 1993 and two in 1994—and are usually reserved for crimes such as political assassination and multiple murders.

Courts of law try cases under procedures that resemble the Anglo-American pattern. The machinery for prevention and punishment through the criminal court system rests on the Code of Criminal Procedure of 1973, which came into force on April 1, 1974, replacing a code dating from 1898. The code includes provisions to expedite the judicial process, increase efficiency, prevent abuses, and provide legal relief to the poor. The basic framework of the criminal justice system, however, was left unchanged.

Constitutional guarantees protect the accused, as do various provisions embodied in the 1973 code. Treatment of those arrested under special security legislation can depart from these norms, however. In addition, for all practical purposes, the implementation of these norms varies widely based on the class and social background of the accused. In most cases, police officers have to secure a warrant from a magistrate before instituting searches and seizing evidence. Individuals taken into custody have to be advised of the charges brought against them, have the right to seek counsel, and have to appear before a magistrate within twenty-four hours of arrest. The magistrate has the option to release the accused on bail. During trial a defendant is protected against self-incrimination, and only confessions given before a magistrate are legally valid. Criminal cases usually take place in open trial, although in limited circumstances closed trials occur. Procedures exist for appeal to higher courts.

India has an integrated and relatively independent court system. At the apex is the Supreme Court, which has original, appellate, and advisory jurisdiction (see *The Judiciary*, ch. 8).

Below it are eighteen high courts that preside over the states and union territories. The high courts have supervisory authority over all subordinate courts within their jurisdictions. In general, these include several district courts headed by district magistrates, who in turn have several subordinate magistrates under their supervision. The Code of Criminal Procedure established three sets of magistrates for the subordinate criminal courts. The first consists of executive magistrates, whose duties include issuing warrants, advising the police, and determining proper procedures to deal with public violence. The second consists of judicial magistrates, who are essentially trial judges. Petty criminal cases are sometimes settled in *panchayat* (see Glossary) courts.

## **The Penal System**

The constitution assigns the custody and correction of criminals to the states and territories. Day-to-day administration of prisoners rests on principles incorporated in the Prisons Act of 1894, the Prisoners Act of 1900, and the Transfer of Prisoners Act of 1950. An inspector general of prisons administers prison affairs in each state and territory.

By the prevailing standards of society, prison conditions are often adequate. Some prison administrators concede that the prevailing conditions of poverty in Indian society contribute to recidivism because a prison sentence guarantees minimal levels of food, clothing, and shelter. Despite this overall view, India's prisons are seriously overcrowded, prisoners are given better or worse treatment according to the nature of their crime and class status, sanitary conditions are poor, and punishments for misbehavior while incarcerated have been known to be particularly onerous.

Prison conditions vary from state to state. The more prosperous states have better facilities and attempt rehabilitation programs; the poorer ones can afford only the most bare and primitive accommodations. Women prisoners are mostly incarcerated in segregated areas of men's prisons. Conditions for holding prisoners also vary according to classification. India retains a system set up during the colonial period that mandates different treatment for different categories of prisoners. Under this system, foreigners, individuals held for political reasons, and prisoners of high caste and class are segregated from lower-class prisoners and given better treatment. This treatment includes larger or less-crowded cells, access to books and





*Public security awareness sign in New Delhi  
Courtesy Robert L. Worden*

newspapers, and more and better food. Despite laws that mandate egalitarian treatment of Dalits (see Glossary), members of Scheduled Tribes (see Glossary), and members of the so-called Backward Classes (see Glossary), a rigid class system that circumvents the spirit of these laws exists within the prison system (see *Varna, Caste and Other Divisions*, ch. 5).

The press and human rights groups periodically raise the subject of prison conditions, including problems of overcrowding, the plight of prisoners detained for long periods while awaiting trial, and the proper treatment of women and juvenile prisoners (children are often incarcerated with their parents). Reports have also surfaced alleging that torture, beatings, rape, sexual abuse, and unexplained suicides occur on many occasions in police stations and prisons. Because of a shortage of mental institutions, numerous "non-criminal lunatics" are imprisoned, often under conditions worse than those afforded criminals. The government concedes that problems exist, but insists that its attempts at prison reform have suffered from a paucity of resources.

## **National Security Challenges**

As the twenty-first century approaches, India faces a number

of key challenges to its national security. The vast majority of emergent threats are essentially from within.

Because of increased educational opportunities, greater political awareness, and media exposure, hitherto quiescent ethnic minorities are steadily claiming their rights in the political arena. This form of political assertiveness has generated a backlash from the well-entrenched segments of India's majority population. Much violence has accompanied this process of social change. Increased use of coercion alone, however, is unlikely to contain ethnoreligious violence. Further development of India's political institutions and social policies is also needed.

A related national security problem in the region is linked to the porous borders and cross-national ethnic ties that characterize South Asia. Consequently, Pakistan has found it expedient to support Muslim militants in Jammu and Kashmir and, to a lesser degree, Sikh insurgents in Punjab. India, on occasion, has retaliated in Pakistan's Sindh Province, supporting various movements for Sindhi autonomy. Furthermore, India has also been involved in supporting the Tamil extremists in Sri Lanka. As long as governments in the region yield to these temptations for short-term gains, continued fratricidal violence is inevitable.

The other major source of instability in the region stems from the proliferation of nuclear and ballistic missile capabilities in both India and Pakistan. The long-standing border dispute with China and the memories of the 1962 military debacle have encouraged India's efforts to acquire these capabilities. India's acquisition of weapons of mass destruction may well precipitate a three-way arms race in the region involving India, Pakistan, and China. Such an arms race not only would be strategically destabilizing but also would impose enormous costs on resource-poor societies.

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Stephen Philip Cohen's *The Indian Army* is the best work on the historical evolution of the Indian army. One of the earliest and still useful accounts of India's security problems is Lorne J. Kavic's *India's Quest for Security*. Raju G.C. Thomas's *Indian Security Policy* is probably the most comprehensive, although not necessarily the most analytic, treatment of Indian security questions. Basic armed forces information appears in *SP's Military*

*Yearbook* and the weekly armed forces news magazine *Sainik Samachar* (available in thirteen languages), both published in New Delhi. Analyses of the state of India's armed forces, including its paramilitary forces, periodically appear in the journal *Armed Forces and Society*. Within India the best discussions of security issues are found in the privately produced *Indian Defence Review* and the *Institute of Defence Studies and Analyses Journal*, the house journal of the government-supported think tank, the Institute of Defence Studies and Analyses. Broader discussions of regional security issues can be found in *Survival*, published by the International Institute of Strategic Studies in London.

The various wars that have taken place in the region are well documented. The best analyses are Lionel Protip Sen's *Slender Was the Thread* on the 1947-48 conflict, D.K. Palit's *War in the High Himalaya* and Stephen Hoffmann's *India and The China Crisis* on the 1962 India-China border war, Russell Brines's *The Indo-Pakistani Conflict* on the 1965 war, and Robert Jackson's *South Asian Crisis* and Richard Sisson and Leo E. Rose's *War and Secession* on the 1971 Indo-Pakistani conflict. Sumit Ganguly's *The Origins of War in South Asia* is the only comparative and comprehensive account of the three Indo-Pakistani conflicts. Civil-military relations and defense decision-making issues have been discussed in articles written jointly by Jerrold F. Elkin and W. Andrew Ritezel and by Sumit Ganguly.

An excellent discussion of nuclear proliferation issues is found in Stephen Philip Cohen's *Nuclear Proliferation in South Asia*. Indian nuclear and ballistic missile programs are discussed in some detail in Brahma Chellaney's *Nuclear Proliferation: The U.S.-Indian Conflict*. For an early analysis of the motivations underlying the Indian nuclear program, see Sumit Ganguly's "Why India Joined the Nuclear Club." Another useful analysis of India's nuclear and ballistic missile programs is Raju G.C. Thomas's "India's Nuclear and Space Programs: Defense or Development?" An important discussion of Indian strategic culture and doctrine is George K. Tanham's "Indian Strategic Culture." (For further information and complete citations, see Bibliography.)